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Injection Locking in Switching Power Amplifiers

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ABSTRACT In this work, injection locking in switching power amplifiers (PAs) is studied. Traditionally, injection-locked PAs (ILPAs) have supported phase modulation, with injection locking used primarily to improve the power-added efficiency by reducing the power required to drive the ILPA. Since the output power in conventional ILPA architectures is mainly contributed by the locked oscillator in an ILPA, the amplitude modulation is difficult to achieve unless supply modulation is employed. In the ILPA presented in this work, it is shown that by injection locking a switching PA and a power oscillator, improvement in both power-added efficiency and drain efficiency can be achieved as compared to just a switching PA. Moreover, amplitude modulation at a fixed supply voltage is achieved using an RF-DAC approach to scale both the switching PA and the locked oscillator. This approach employs variable injection-strengths varying from ≤ 1 (weak injection locking) to > 1 (strong injection locking) to achieve the required power back-off. Accordingly, new formulations are introduced to extend the existing injection locking theory for injection strengths > 1 case in ILPAs. Benefits of a larger injection strength on lock-range, maximum allowable symbol rate, AM-PM distortion and phase noise performance for an ILPA is provided. An ILPA is designed to support 64-QAM and implemented in a standard 65-nm bulk CMOS process. A peak drain efficiency of 42.7% and power-added efficiency of 40% is measured at the highest output power of 23 dBm, operating from a 1.45 V PA power supply at 2.5 GHz. Modulation tests with 5/50 MSym/s 64-QAM signals achieve the measured RMS EVM of 1.9%/3.1% with the average output power, drain efficiency and power-added efficiency of 18.1 dBm, 27.9% and 25.8% at 2.5 GHz, respectively.

INDEX TERMS AM-PM, CMOS integrated circuits, efficiency, inverse class-D PA (ICDPA), injection locking, injection-locked power amplifier (ILPA), power amplifier (PA), power oscillator, polar modulation, switching circuits.

I. INTRODUCTION

MODERN wireless transmission schemes increase data rate within a limited spectrum by adopting spectral-efficient modulation techniques such as QAM. These varying envelope modulation techniques have high peak-to-average-power ratio (PAPR). Therefore, power amplifiers (PAs) with high drain efficiency (η_D) and high power-added efficiency (PAE) are required to work efficiently with power back-off.

Injection-locking (IL) in oscillators have been studied extensively and has found several practical applications [1]–[9]. IL has also been used to design injection-locked power amplifiers (ILPAs) [10]–[19]. The focus of several

prior-art has been in improving the PAE – including radio frequency (RF) PAs [10]–[15], transmitter (TX) with low power-output for wireless sensor networks [12], [16], or mm-wave PAs with relatively low power-output [17], [18]. Nevertheless, widespread adoption for ILPAs have been limited due to several limitations, the three primary being narrowband operation, inability to support varying-envelope modulation and power back-off, and lack of rigorous analysis for functional reliability.

In traditional ILPAs, the output power depends on locked power oscillator (PO) strength and does not reduce proportionally with the input signal [10], [17], [20]. This poses a problem for implementing amplitude modulation

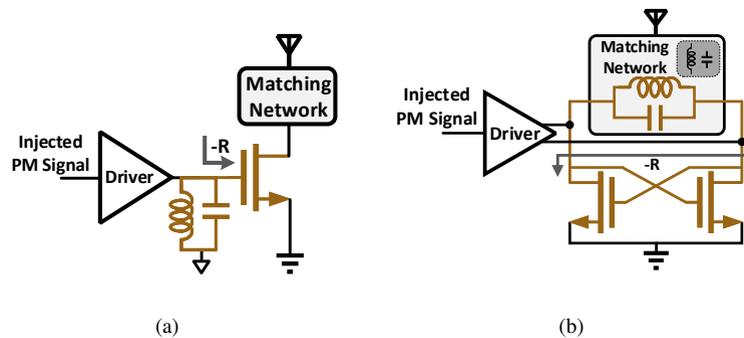


FIGURE 1. (a) A dual-tank ILPA. (b) A single-tank ILPA.

(AM) for varying-envelope signals in an ILPA at a fixed supply voltage. In this work, AM is realized by employing a radio frequency digital-to-analog converter (RF-DAC) approach to vary the strengths of both the switching PA and the PO. An inverse Class-D PA (ICDPA) is used as a switching PA. The ICDPA transistors are used to lock the PO and both of them constitute the ILPA. The RF-DAC approach gives rise to varying injection-strength (K) to achieve the desired modulation. K is a crucial parameter for ILPA as it controls the lock-range, locking time, phase of currents in IL phasor diagram and phase-noise (PN). This directly influences the PA performance parameters such as modulation bandwidth, AM-PM distortion and error-vector magnitude (EVM). Additionally, this work shows that other parameters such as maximum output power (P_{out}), drain efficiency (η_D) and power-added efficiency (PAE) are also affected and can be improved. Thus, an appropriate selection of K is required to meet the desired PA specifications. Accordingly, this work provides the necessary circuit techniques, theoretical derivations, practical design guidelines and discussion on trade offs. To summarize, this work contributes to the following: (1) drain efficiency improvement is shown in an ILPA along with the PAE improvement, (2) high strength injection locking theory for the PA is derived, (3) excess AM-PM distortion component in an ILPA arising from the injection locking mechanism has been observed and analyzed, (4) analyzing the role of PO in out-of-band phase noise improvement in an ILPA, and (5) design methodology and design equations are provided for the proposed ILPA to achieve the desired symbol rate and higher drain efficiency.

The paper is organized as follows: Section II describes the prior-art in ILPA and PO. Section III introduces IL theory and extends it for high injection strengths. Section IV lays the theoretical framework for ILPA and introduces formulations and circuit techniques that enable both AM and phase modulation (PM) in ILPA, and increase both PAE and η_D . Tradeoffs and design guidelines for the maximal possible symbol rates, AM-PM distortion, and phase noise considerations are described next in Section V. Measurement results for a proof-of-concept prototype are presented in Section VI, and the paper is concluded in Section VII.

II. PRIOR ART IN ILPAS

ILPAs can be categorized into two types: (1) Dual-tank: Wherein a single switching transistor also provides the necessary negative resistance to create an injection locked oscillator (ILO) with a resonant tank connected to the input, and a separate load network connected to the output (forming another tank), as shown in Fig. 1(a) [12]–[15]. (2) Single-tank: Wherein a differential-pair of transistors are used for switching as well as providing the negative resistance, with a single LC network that serves as an ILO resonant tank as well as load network, as shown in Fig. 1(b) [10], [11], [16]–[18]. A recent work, [21], [22] uses injection locking to achieve 16-QAM modulation using an injection locked power up-converter. It works as a linear PA for low power output levels and as a supply modulated PA for achieving high power output levels. The up-converted signal from a mixer stage is injected at the source of the cascode transistor; a different injection scheme than other ILPAs where the drain node is the point of injection. However, an off-chip supply modulator is used for implementing amplitude modulation in these works. The matching network is also off-chip, thereby incurring low loss in matching network leading to higher drain efficiency, at the expense of increased cost.

A. LIMITATIONS OF DUAL-TANK ILPA

The input transistor of a switching PA is large, and driving a large capacitance leads to a significant power consumption for the driver. Instead, the switching PA can be designed in such a way that its input conductance is negative. Together with an inductor at the input, it acts as an oscillator, injection locked to the driver frequency. Furthermore, as the driver is essentially driving an ideal LC tank, it saves power in the driver [12], [13]. However, this topology faces several drawbacks: (a) A reduction in power consumption of the driver and ILO demands high Q of the input resonant tank, but a higher Q leads to a reduced injection lock-range and modulation bandwidth. (b) The input tank, a single transistor to provide negative resistance as well as switching, and another tuned network at the output for shaping the switching signals and load transformation – are entwined, complicating the design and optimization for efficiency. (c) The driving signal into the switching transistor becomes sinusoidal which

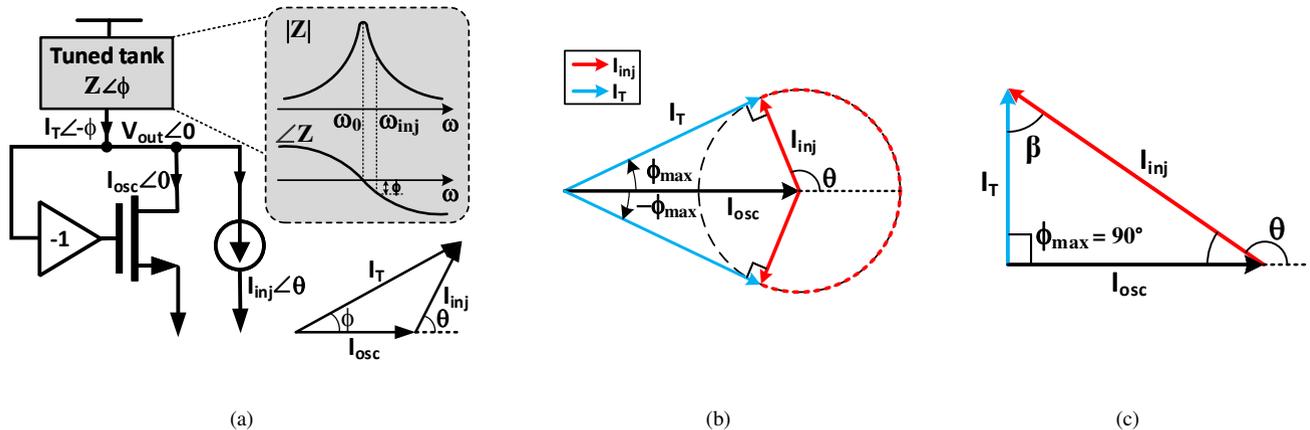


FIGURE 2. (a) Injection locking in an LC oscillator, its current vector diagram and magnitude and phase response of a parallel LC tank. (b) Vector diagram at the edge of the lock-range for $K \leq 1$. (c) Vector diagram at the edge of the lock-range for $K > 1$.

increases the transistor's on-off switching time, adversely impacting η_D . (d) The use of an additional inductor at the input increases area. (e) The negative input conductance of the PA transistor also depends upon the driver output power, further complicating the design [12], [13].

On-off keying is implemented in [14], [15] by driving the cascode transistors in a dual-tank ILPA. However, switching thick-oxide cascode transistors limits the bandwidth, and driving them further incurs large power penalty, and the need for PWM generation circuit, isolator and bandpass filter further nullifies any efficiency improvement due to IL. Moreover, no measurement results are shown for varying envelope modulation.

B. LIMITATIONS OF SINGLE-TANK ILPA

Based on the severe limitations of dual-tank ILPAs, we choose the single-tank architecture for this work. However, there are still limitations in single-tank ILPA, and ILPAs in general that we must address, as listed below:

(a) A major limitation of any prior-art ILPA, single-tank or dual-tank, is that they inherently lack the capability for AM at a constant supply voltage [17], [20]. This is because the output power is generated from the oscillator core which does not vary with the input injected power for weak injection. It can be noted that other ILPAs which implement AM such as [21], [22] use off-chip supply modulator to achieve it. Thus, a conventional ILPA operating at the fixed power supply provides almost constant power for any input signal within its lock-range and is not suitable for QAM.

This work addresses this problem by employing low ($K \leq 1$) to high injection-strengths ($K > 1$) in the proposed ILPA wherein an ICDPA injection locks a PO. To provide further flexibility for AM, the strength of the PO is also tuned. Since prior literature provides the theory for injection locking in PAs only for $K < 1$, a theoretical foundation for $K \geq 1$ has been developed in this work.

(b) Narrow lock-range is another drawback of an ILPA that has limited the modulation bandwidth in prior-art [19].

It is known that large K values are also helpful in

improving the lock-range [1]. An increase in lock-range reduces the locking time of the oscillator and consequently increases the injection locking bandwidth. A reduced locking time, therefore, allows ILPA to support a higher data rate. In this work, we explore the maximum symbol rate considerations for an ILPA and derive a 1:1 relationship between the maximum symbol rate and the injection-strength, as well as the associated trade-offs for the maximum PAE.

(c) Although IL has been used for improving the PAE, simultaneously increasing η_D is also desirable. In prior-art single-tank ILPAs, the cross-coupled devices providing the negative resistance also does most of the switching, thereby acting as a power oscillator, and the injection devices, sized comparatively smaller, provides the signal for IL and PM.

In this work, it is shown that by sizing the injection devices larger than the cross-coupled devices, the injection devices acts as a main contributor to output power and separate cross-coupled devices further assist the switching through positive feedback, thereby both η_D and PAE can be simultaneously improved.

(d) Prior-art in ILPA used weak injection-strength for IL. Signals emanating from different circuits in an SoC environment can parasitically couple to the oscillators and can cause undesired pulling or locking.

In essence, all of the prior-art ILPA designs [10]–[17], [19] have been designed to predominantly work with a weak injection devices and a strong PO. In our work, we design an ILPA with a strong injection devices and a weak PO.

III. HIGH-STRENGTH INJECTION LOCKING

The theory of IL for oscillators has been described in excellent detail before, but limited to injection strength $K < 1$ [1]–[4]. We extend its theory for even higher injection strengths ($K > 1$) in this Section so as to enable AM for ILPA and permit wideband operation. Next, we briefly describe the basics of IL in order to extend the theory.

An LC oscillator, having a resonance frequency of ω_0 under the impression of an external signal of frequency of ω_{inj} can start to oscillate at ω_{inj} if the two frequencies are

close to each other. This phenomenon is known as IL and the LC oscillator is said to be injection locked to ω_{inj} . The maximum and minimum values of ω_{inj} which can lock the oscillator, defines the lock-range of the oscillator.

When the oscillator is locked, the oscillator current, I_{osc} , and the injected current, I_{inj} , combine together to generate the total current out of the tank, I_T , as shown in the vector diagram in Fig. 2(a). The magnitude $|Z|$ and phase $\angle Z$ of the tank impedance as a function of frequency are also shown. As $I_{osc} = -g_m V_{out}$, V_{out} and I_{osc} are in phase with each other in the locked condition for sustained oscillations for any values of I_{inj} and ω_{inj} . If the tank is operating at ω_{inj} which is different than its natural resonance frequency of ω_0 , the tank impedance Z either looks inductive or capacitive and I_T therefore sees a nonzero phase $\angle Z = -\phi$. This requires that I_T has a phase of ϕ to cancel the $-\phi$ phase from the tank so that phase of V_{out} is zero. To provide this necessary phase shift, I_{inj} combines with I_{osc} at an angle θ .

A. PHASE SHIFT AND LOCK-RANGE AS A FUNCTION OF INJECTION-STRENGTH FOR $K \leq 1$

In this scenario, the relation between θ and ϕ can be found from [2], [4] as

$$\tan \phi = \frac{I_{inj} \sin \theta}{I_{osc} + I_{inj} \cos \theta}, \quad (1)$$

and K is the injection-strength, given by

$$K = \frac{I_{inj}}{I_{osc}}. \quad (2)$$

For the given magnitudes of I_{inj} and I_{osc} , the locus of current vector I_T is the dotted circle as shown in Fig. 2(b). For the maximum phase ϕ_{max} , I_T becomes a tangent to this circle and I_T and I_{inj} form an angle of 90° with each other and therefore $\theta_{max} = 90^\circ + \phi_{max}$. In this condition [2], [3],

$$\sin \phi_{max} = \frac{I_{inj}}{I_{osc}} = K, \quad (3)$$

$$\tan \phi_{max} = \frac{I_{inj}}{I_T} = \frac{I_{inj}}{\sqrt{I_{osc}^2 - I_{inj}^2}} = \frac{K}{\sqrt{1 - K^2}}. \quad (4)$$

Thus, K determines ϕ_{max} and θ_{max} . From the tank phase response $\angle Z(\omega)$ in Fig. 2(a), the maximum lock frequency, $\omega_{inj,max}$ corresponds to ϕ_{max} and the single-sided lock-range is given by $\omega_{inj,max} - \omega_0$. For frequencies in the vicinity of ω_0 , the phase shift of the tank at ω_{inj} , $\angle Z(\omega_{inj})$, is given by [1]

$$\tan(\angle Z(\omega_{inj})) = \frac{2Q}{\omega_0}(\omega_0 - \omega_{inj}). \quad (5)$$

From (4) and (5), the lock-range ω_L can be obtained as [2]

$$\omega_L = |(\omega_0 - \omega_{inj})| = \frac{\omega_0}{2Q} \frac{K}{\sqrt{1 - K^2}}. \quad (6)$$

Clearly, K should be increased for extending the lock-range. A large lock-range is desirable for improving the locking time/transient response of an ILO [1], [2], [23].

However, (4) to (6) are valid for small injection-strengths or $K \leq 1$ scenario. For a constant I_{osc} , I_T decreases when K is increased for obtaining a higher ω_L . In the limiting case when ϕ_{max} approaches 90° for $K = 1$, I_T reduces to zero implying no oscillations. Here, (6) suggests an infinite lock-range for $K = 1$, however, the oscillation amplitude is zero as explained above. Moreover, in a practical scenario, the oscillations are further exacerbated as ω_{inj} moves further away from ω_0 , due to a decrease in the magnitude of tank impedance. Beyond a certain ω_{inj} , the oscillator closed loop-gain becomes less than unity and oscillations cannot be sustained.

B. PHASE SHIFT AND LOCK-RANGE AS A FUNCTION OF INJECTION-STRENGTH FOR $K > 1$

Next, we explore the edge of the lock-range where $\phi_{max} = 90^\circ$ for $K > 1$ in Fig. 2(c). A recent work [24] provides a phasor-based analysis of IL for all K cases in oscillators. For $K > 1$, the current phasors form a right-angle triangle where I_{inj} is the hypotenuse. This is in contrast with $K \leq 1$ case where I_{osc} is the hypotenuse in the vector diagram. It can be seen that $\phi_{max} = 90^\circ$ can be achieved by all $K > 1$ cases. Also, I_T can be increased with an increase in K for a constant I_{osc} and its magnitude for a particular K is given by

$$I_T = \sqrt{I_{inj}^2 - I_{osc}^2} = I_{osc} \sqrt{K^2 - 1}. \quad (7)$$

θ_{max} , for $K > 1$, is given as

$$\theta_{max} = 90^\circ + \beta = 90^\circ + \arcsin(1/K). \quad (8)$$

Combining for all K cases, θ_{max} is given by

$$\theta_{max} = \begin{cases} 90^\circ + \arcsin(K), & \text{if } K \leq 1 \\ 90^\circ + \arcsin(1/K). & \text{if } K > 1. \end{cases} \quad (9)$$

Thus, $K > 1$ allows higher magnitude of I_T to be sustained at larger ϕ values, resulting in AM with high modulation bandwidth and higher lock-range, pivotal to the proposed ILPA. A large I_T also makes up for the degraded tank impedance at higher ω_L thereby improving the oscillation amplitude.

Having established the operation of IL for all K , an ILPA design employing both $K \leq 1$ and $K > 1$ is presented next.

IV. WIDEBAND QAM ILPA DESIGN

In this section, we first describe the design of an ICDPA, compare its η_D to a stand-alone PO, and then combine the two for the proposed ILPA that can support AM and PM, and has improved η_D as well as PAE.

A. ICDPA AND PO DESIGN

The digital-modulated PA architecture [25] has been a popular implementation to achieve AM in non-linear PAs for high-BW, varying-envelope signals with better η_D at power back-off than linear PAs. Consider a digitally-modulated ICDPA architecture for implementing AM as shown in Fig.

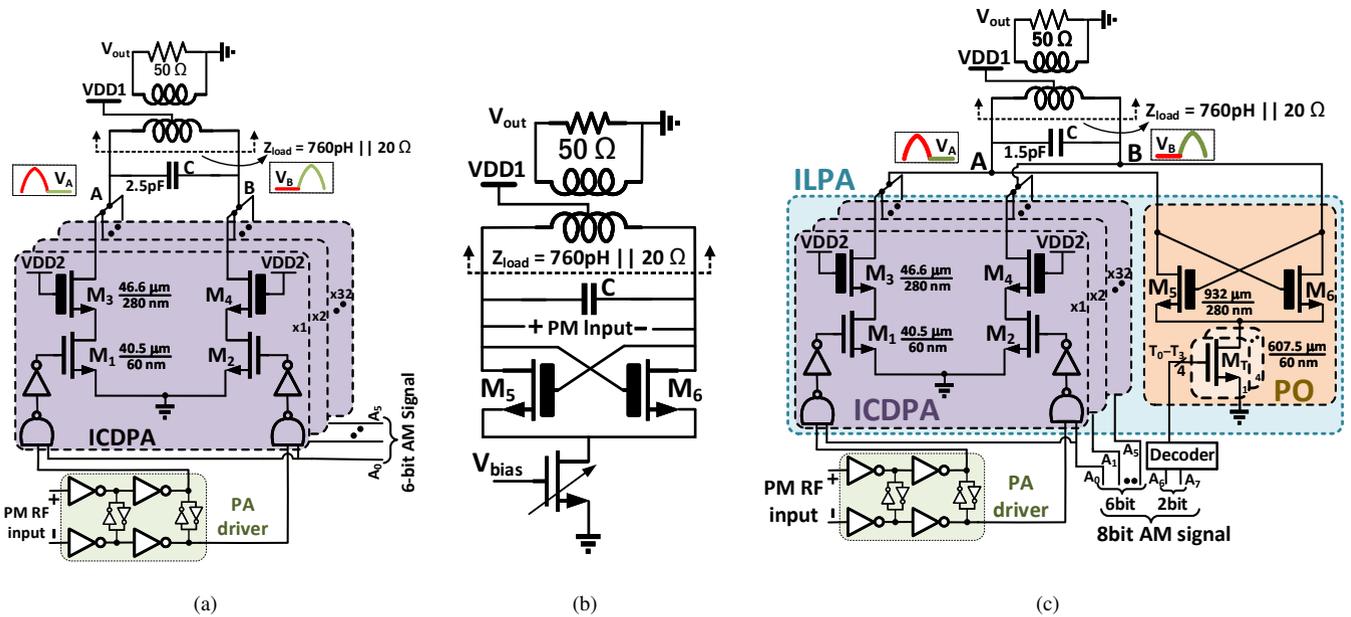


FIGURE 3. Schematic of (a) an ICDPA, (b) a PO with tunable tail-width, and (c) an ILPA: An ICDPA assisted by a PO.

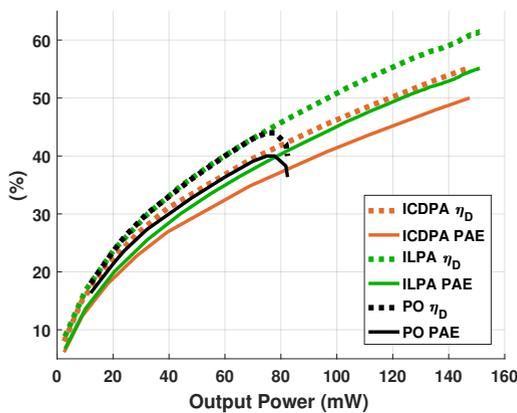


FIGURE 4. Simulated η_D and PAE vs. P_{out} for an ICDPA, a PO and an ILPA interfaced with same load.

3(a). M_1 and M_2 are the power transistors whose width are scaled in a binary fashion over six cells. Digital NAND gates enable/disable a cell to receive the PM signal from the input buffer chain, thereby resulting in an RF-DAC controlled by the 6-bit binary AM word $A_0 - A_5$. A decrease in the AM codeword $A_0 - A_5$ reduces the effective power transistor width which in-turn reduces the current I_D in ICDPA while increasing the effective R_{on} of the power transistors. Thus, power back-off is obtained in an ICDPA at the cost of lower η_D as shown in Fig. 4. The buffer-chain is implemented in a tapered topology to reduce power consumption while maintaining the necessary switching speed. Cross-coupled inverters are used to maintain the signal in differential phase along the buffer chain. The gate of the thick-oxide cascode transistors are DC biased at 2.5 V.

An implementation of a PO with a variable P_{out} capability is shown in Fig. 3(b). Here, the width of the tail transistor controls the tail current which changes the oscillation amplitude given by $(2/\pi)I_{tail}R_{load}$. For implementing PM,

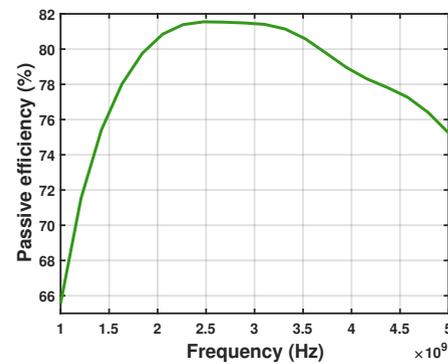


FIGURE 5. Passive efficiency of the load transformation network.

the PO can be injection locked to an incoming phase signal and work as an ILPO.

To better understand the capability of a PO (Fig. 3(b)) vs. an ICDPA (Fig. 3(a)), both are interfaced with the same output load consisting of a transformer to convert the off-chip 50Ω to a smaller effective PA resistance. Fig. 5 shows the passive efficiency of the load transformation network, which is realized by a 1:2 transformer loaded with 50Ω at its secondary winding. The passive efficiency of the network in post-layout is 81.5% at 2.5 GHz and is higher than 65% in the 1-5 GHz frequency range. The imaginary and real values of Y11 into the transformer primary winding from the post-layout simulations are shown in Fig. 6 and Fig. 7, respectively. At 2.5 GHz, the impedance looking into the primary is a combination of an inductance of 760 pH in parallel with a resistance of 20Ω . Therefore, the quality factor of the tank is 1.7. A MIM capacitor, used in parallel with the load in both cases, in combination with the large parasitic drain capacitance of the transistors and wiring capacitance tunes out the $+j11.9 \Omega$ (0.76 nH inductor) load at an operating frequency $f_0 = 2.5$ GHz.

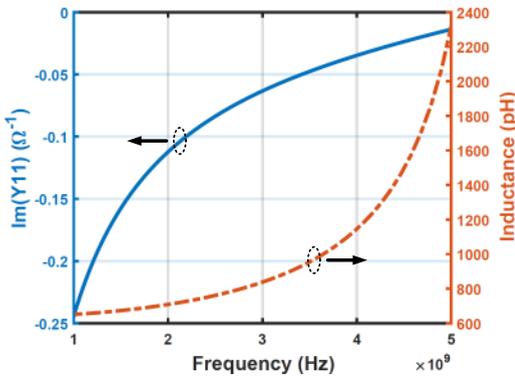


FIGURE 6. $\text{Im}(Y_{11})$ and equivalent inductance of the load transformation network.

Both designs are optimized for highest η_D and the resulting P_{out} and PAE with power back-off are shown in Fig. 4. The ICDPA shows a maximum $P_{out} = 147.5$ mW, maximum $\eta_D = 55.3\%$ at a power-transistor width (M_1, M_2) of 2.55 mm. A buffer chain driving the ICDPA consumes another 28 mW power, and therefore PAE is calculated as $P_{out}/(P_{DC} + P_{buffer}) = 50\%$. On the other hand, the PO has a $P_{out} = 77$ mW, $\eta_D = 44\%$ at a power-transistor (M_5, M_6) width of 0.69 mm. The efficiency of PO starts to fall off when P_{out} exceeds 77 mW. Thus, when designed for maximum η_D , at their highest P_{out} values, the ICDPA is about 6% points more efficient, has wider range of P_{out} values and produces $1.9\times$ higher P_{out} than the PO, although also occupying a larger transistor area. However, η_D of the PO is better than that of the ICDPA for providing output power from 17 mW to 77 mW, as shown in Fig. 4 which provides the motivation for combining the PO with the ICDPA in this work.

A lower power-transistor width and P_{out} of the PO as compared to the ICDPA can be explained as follows. A PO is self-driven and therefore does not follow the zero-voltage switching condition for obtaining higher efficiency as in a PA. The PO in Fig. 3(b) works as a Class-B oscillator whose theoretical maximum η_D is limited to $2/\pi$ [26]. On analyzing the I/V waveforms of the PO, it is observed that power is dissipated throughout the signal time-period, peaking twice per cycle at the drain-gate voltage crossings. A smaller power-transistor width (compared to the ICDPA) provides the highest η_D for the PO and allows a comparatively lower current to be commutated into the load which reduces P_{out} .

Implementing a large power back-off in a PO can be challenging. A decrease in tail current reduces the g_m of the NMOS cross-coupled transistors and pushes the PO closer to the verge of non-oscillation. Therefore, the tail current cannot be arbitrarily decreased for AM which limits its power back-off range and hence limits its AM capability. Another drawback is that the PN of the oscillator output degrades for a lower output amplitude. Thus, stand-alone POs are seldom used in transmitters. The output signal of a PO driving a low impedance output load has a degraded PN characteristic. Also, it has a poor frequency stability. In [16], a low PN signal from a clean LO is used to lock the PO (forming an

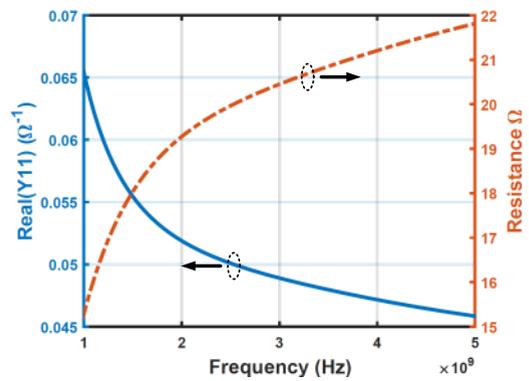


FIGURE 7. $\text{Re}(Y_{11})$ and equivalent resistance of the load transformation network.

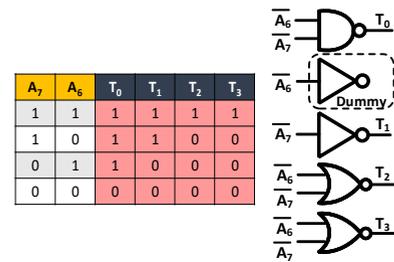


FIGURE 8. Decoder design for the PO.

ILPA) for improving its PN performance.

B. COMBINING ICDPA WITH PO AS AN ILPA

If an ICDPA is used to injection lock a PO, both the ICDPA and PO can be digitally modulated and in combination deliver the desired output power levels for power back-off. Fig. 3(c) shows such a proposed topology which reaps the benefits from both the architectures. The ICDPA is chosen as the PA architecture for this ILPA because of two factors: (1) Its intrinsic implementation as a differential design is suitable for assisting it with a cross-coupled pair implementation (for PO), and (2) both the ICDPA and the PO require a parallel LC tank as the load network. Such an ILPA can also be used in differential class-E design as used in [10], [11]. An optimum digital selection chooses the strengths for PO and PA in a manner which ensures higher η_D and PAE of this proposed ILPA for a given output power, as well as higher maximum P_{out} in comparison to a stand-alone ICDPA or PO. Additionally, η_D of the ILPA also improves because of the following reasons: (1) reduction of the transistor losses, (2) increased in the fundamental output power, (3) decrease in power in harmonics.

Reduction in transistor loss is caused by a reduction in the effective R_{on} of the PA because the resistance of the triode transistors M_1-M_3 and M_5-M_T are in parallel in an RF half-cycle. When M_1 is ON and M_2 is OFF, a high drain swing, V_B , turns on the transistor M_5 to assist transistors M_3-M_1 in pulling down V_A to zero. M_6 is turned off due to low V_A during this half-cycle and facilitates a high voltage swing to build up at node B. Thus, effective R_{on} for discharging node A to ground decreases resulting

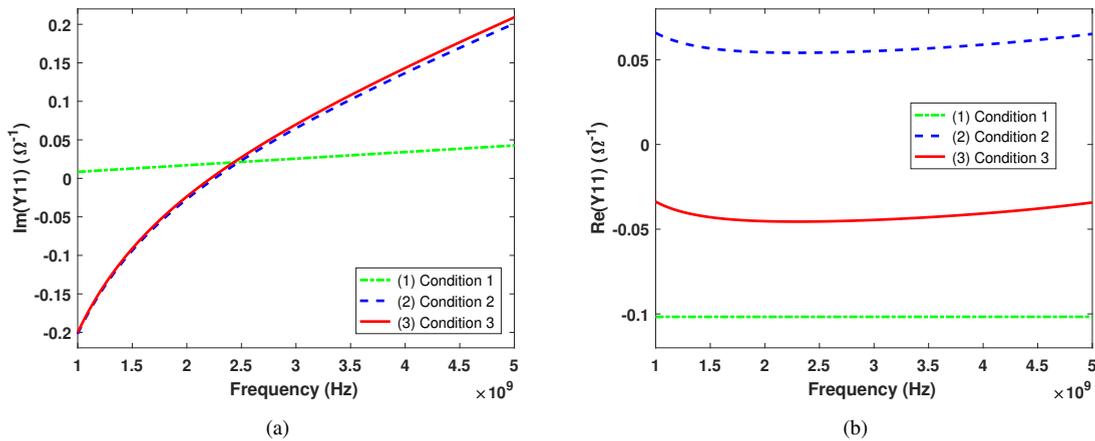


FIGURE 9. (a) $\text{Im}(Y_{11})$, and (b) $\text{Re}(Y_{11})$ for the three simulation conditions.

in an increase in η_D . Similarly, R_{on} decreases for node B in the other half cycle. From the DC simulations, the effective R_{on} of PA is 480 m Ω from only M_1 - M_3 transistors, which reduces to 370 m Ω when the PO is attached. Further, the high swings of π -VDD at node A/B, result in a large V_{gs} for the cascode transistors M_5/M_6 and therefore realize a small triode resistance. Notably, this resistance decreases sharply with the increase in PA VDD because of the π multiplier. (2) happens because $-g_m$ from the cross-coupled transistors improves the differential signal swing at the node A-B resulting in a higher output power in the fundamental. The technique helps (3) by reducing the harmonics in the PA output since the cross-coupled pair reduces the even-order harmonics. From the simulations, it was observed that power in harmonics of the ILPA reduces by 1.1% points of the overall DC power as compared to an ICDPA.

The RF-DAC of the ILPA is controlled by the 8-bit word $A_0 - A_7$. Bits $A_0 - A_5$ and bits $A_6 - A_7$ control the effective transistor width and, therefore, the strength of the ICDPA and the PO, respectively. A decoder is used to decode the 2-bit AM signal $A_6 - A_7$ to bits $T_0 - T_3$, which can change the tail current in the PO in a thermometric manner. The decoder design for the PO is shown in Fig. 8. A_7A_6 assumes the values: 00, 01, 10 and 11 to enable $0\times$, $0.25\times$, $0.5\times$, and $1\times$ PO tail transistor width, respectively. The size of the transistors in the LSB bit for M_1/M_2 is 40.5 $\mu\text{m}/60$ nm, and for M_3/M_4 is 46.6 $\mu\text{m}/280$ nm as shown in Fig. 3(c) and Fig. 3(a). When all bits are ON, the total width of the M_1/M_2 transistor is 2.55 mm/60 nm, and for M_3/M_4 transistor is 2.93 mm/280 nm. The overall width of transistor M_T when the PO is entirely ON is 607.5 $\mu\text{m}/60$ nm.

At the ILPA drain terminals A-B in Fig. 3(c), the loaded impedance of the transformation network is parallel to the cross-coupled pair (M_5 - M_6) and the ICDPA transistors (M_3 - M_4). Fig. 9(a) and Fig. 9(b) shows a plot of simulated real and imaginary values of Y_{11} for a small input signal at A-B in three conditions: (1) (a) The loaded transformation network and the MIM capacitor are disconnected, (b) the ICDPA section of ILPA is disconnected,

and (c) cross-coupled pair is turned ON ($A_6 - A_7 = 11$). This arrangement provides the input impedance of only the cross-coupled pair. (2) (a) The loaded transformation network and the MIM capacitor are connected, (b) the ICDPA part of ILPA is turned OFF, i.e., the AM bits $A_0 - A_5 = 00000$, and (c) cross-coupled pair is turned OFF ($A_6 - A_7 = 00$). This arrangement provides the input impedance of the loaded transformation network and the MIM capacitor with the parasitic drain capacitance of the OFF transistors M_3 - M_4 and M_5 - M_6 . (3) (a) The loaded transformation network and the MIM capacitor are connected, (b) the ICDPA part of ILPA is turned OFF, i.e., AM bits $A_0 - A_5 = 00000$, and (c) cross-coupled pair is turned ON ($A_6 - A_7 = 11$). This arrangement gives a combination of the cross-coupled pair, load transformation network and the MIM capacitor with the parasitic drain capacitance of the OFF transistors M_3 - M_4 .

From the condition (1), it can be seen that the cross-coupled pair provides a broadband negative resistance of about -10 Ω and a constant capacitance of 1.36 pF from 1-5 GHz. A capacitance of 5.4 pF is required to resonate the input inductance of 760 pH of the transformation network at 2.5 GHz. This capacitance is formed by the combination of MIM capacitor with the drain capacitance of the ICDPA and cross-coupled transistors. The value of the MIM capacitor is adjusted for both the ILPA and the ICDPA to achieve the highest η_D for each. The value of the MIM capacitor is 1.5 pF for ILPA in Fig. 3(c) and 2.5 pF for ICDPA in Fig. 3(a). The parasitic capacitance from transistor layout and wiring capacitance contribute to 1.1 pF capacitance at node A-B in post-layout. Since 1.36 pF corresponds to small conductance of 0.02 mS, the $\text{Im}(Y_{11})$ does not change considerably from condition (2) to (3). However, due to the negative conductance of -100 mS from the cross-coupled pair, the $\text{Re}(Y_{11})$ reduces from 54 mS to -46 mS. Therefore, from a small signal perspective, the combination of the load transformation network and cross-coupled pair presents a negative $\text{Re}(Y_{11})$ of -46 mS. Therefore, the PO can easily oscillate the output load as evident from the measurement results in Fig. 19.

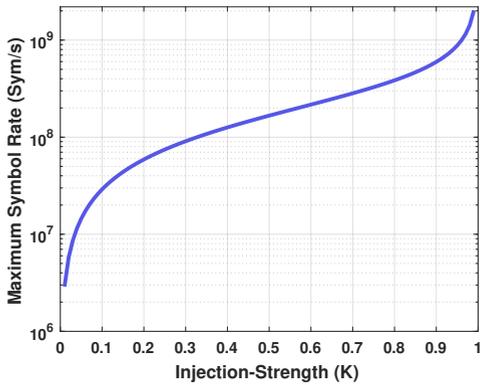


FIGURE 10. Maximum symbol rate as a function of K for $\alpha = 0.125$.

This digital selection of the ICDPA and the PO AM bits allows K to assume a minimum value of 0.0625 and a maximum value of 16. For a specific P_{out} , an optimum width of the transistors used in the ICDPA and the PO can be selected through 8-bit AM word for maximum η_D . If the minimum P_{out} from the PO exceeds the desired P_{out} from the ILPA, then the PO is fully switched-off and only the ICDPA is backed-off for achieving lower P_{out} levels. In simulations, η_D at the highest P_{out} can be increased using this technique by 6.1% points (from 55.3% to 61.4%) using a 1 V supply (VDD1). A comparison of η_D of the ILPA with PO and ICDPA is shown in Fig. 4. It can be observed that the ILPA provides equal or higher η_D as compared to a PO or ICDPA for all power back-off values. Also, an increase in the highest P_{out} and power back-off range can be noticed. In simulations, for 1 V PA supply, the power gain of ILPA can be calculated as $P_{out}/P_{in} = 150 \text{ mW}/12.8 \text{ mW} = 11.7$. P_{in} is the power required to switch the PA switches M_1/M_2 of size = 2.55 mm at 2.5 GHz, assuming 1 fF/ μm gate capacitance. The power gain increases with an increase in PA supply voltage since P_{out} increases while P_{in} remains the same. The tail transistor M_T does not significantly contribute to the overall power dissipation. The maximum and minimum power loss of the tail transistor is 11.67 mW and 842.2 μW , for codes $A_7 - A_0 = 01000001$ and 11111111, respectively.

For an ICDPA realized with n binary-weighted bits having its LSB transistor width as W_{M1} , the total width (W_{PA}) of the ICDPA is given by $W_{PA} = (2^n - 1)W_{M1}$. Based on simulations, a ratio $r = W_{PA}/W_T$ can be found which maximizes the η_D of the ILPA, where W_T denotes the maximum width for the PO tail-transistor. In our simulations, the value of r is 4.2. Digital selection bits enable AM while r is roughly maintained for higher η_D at the power back-off levels as compared to ICDPA. Next, an analysis of an ILPA design is presented.

The minimum injection-strength, K_0 , when the LSB of the ICDPA locks the maximum width of the PO, is given by

$$K_0 = \frac{W_{PA}/(2^n - 1)}{W_T/2}. \quad (10)$$

If C_{Pr} is the parasitic drain capacitance per unit width

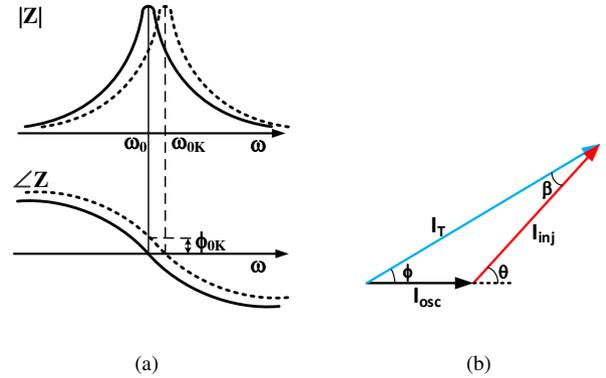


FIGURE 11. (a) Change in centre frequency of an ILPA with changing K values. (b) Vector diagram for $K > 1$ case.

of a transistor, and assuming that the total capacitance, C_L , required to tune out the load inductor is provided by the capacitance of transistors (both from the ICDPA and the PO) and the explicit capacitance C , then

$$K_0(W_T/2)C_{Pr}(2^n - 1) + (W_T/2)C_{Pr} = 2C_L = \frac{1}{\omega_0^2 L}, \quad (11)$$

which can be simplified as

$$K_0(2^n - 1) = 4C_L/(W_T C_{Pr}) - 1. \quad (12)$$

For an ICDPA, at frequency ω_0 , the value of L for the parallel output tank is chosen such that it maximizes the tank Q while providing a reasonable transformer efficiency [27]. This fixes the value of C_L . Since C_L/C_{Pr} is fixed by the technology and layout techniques, the product $K_0(2^n - 1)$ is fixed for a value of W_T . For an n value, K_0 can be determined by the maximum efficiency or symbol rate (as in Section V), and, therefore, W_T and hence W_{PA} can be determined.

V. DESIGN CONSIDERATIONS FOR AN ILPA

A. MAXIMUM SYMBOL RATE CONSIDERATION

In Fig. 3(c), the PO, comprised of M_5 , M_6 and M_T , is injection locked by the ICDPA transistors $M_1 - M_4$. The capacitance for tuning out the transformer load network is contributed by parasitic drain capacitances of transistors $M_3-M_4-M_5-M_6$ and the MIM capacitor (Fig. 3(c)). The parasitic drain capacitance is dependent on the amplitude codeword and reduces with power back-off. Thus, the PO shifts to a higher self-resonance frequency with a decrease in the amplitude codeword. For the correct operation, the PO should be always locked with the frequency and phase of the incoming PM signal. The maximum locking time of the PO should be smaller than the symbol period (or the data rate) to reduce phase errors in the output EVM. Higher injection strengths reduce the locking time and therefore allow a higher symbol rate. In this scenario, the highest symbol rate which can be supported is now investigated.

The minimum locking time, T_L , is inversely proportional to the locking range ω_L and is given as $T_L = 4/\omega_L$ [23].

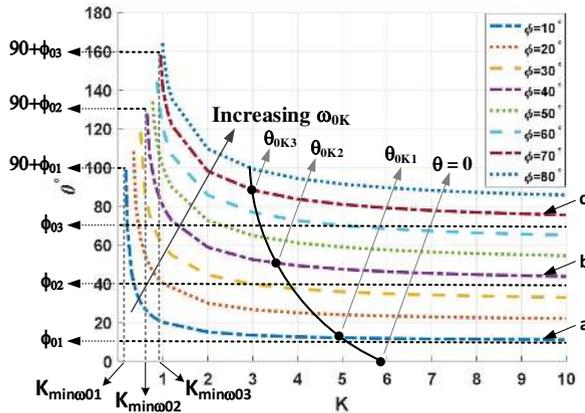


FIGURE 12. Calculated θ - K plots for injection-locked LC tanks with different $\phi_{0K} S$.

To meet the EVM requirements, the frequency and phase of an ILPA should settle within a fraction of the symbol time-period. If S is the symbol rate, then the constraint for settling of the oscillator phase within a fraction, α , of the symbol time-period is given as

$$S \leq \left(\frac{\alpha}{4}\right) \left(\frac{\omega_0}{Q}\right) \frac{K}{\sqrt{1-K^2}}. \quad (13)$$

This implies that a minimum K value is required to support the desired symbol rate for a given EVM. If α is assumed to be 0.125 for the injection-locked PO output to settle within 1/8 of the symbol period, the maximum symbol rate which can be supported by the PO is plotted with the injection-strength in Fig. 10. For a 50 MSym/s data rate and $Q = 1.7$, the required K is 0.17. K is obtained by the ratio of the widths of M_1, M_2 to half the width of M_T . The worst-case scenario occurs for the weakest injection locking when the desired symbol rate is to be maintained for K_0 , i.e., when the LSB of ICDPA locks the full width of the PO. The maximum symbol rate $S = S_0$ supported by K_0 , from (10) and (13), is given by

$$S_0 = \left(\frac{1}{32}\right) \left(\frac{\omega_0}{Q}\right) \frac{2(W_{PA}/W_T)}{\sqrt{(2^n - 1)^2 - 4(W_{PA}/W_T)^2}}. \quad (14)$$

K values larger than K_0 are not a concern for minimum symbol rate requirement as higher K s have even shorter locking time and consequently support symbol rate $S > S_0$. From (14), it can be seen that for a given tank bandwidth, the maximum symbol rate for an ILPA having an n bit ICDPA depends only on K_0 in (10) or the ratio W_{PA}/W_T . Once W_T is chosen, a minimum W_{PA} can be found to support S_0 . It is to be noted that this W_{PA} or K_0 calculation is for supporting a symbol rate, S_0 , and is different than the W_{PA} or K_0 required for maximum η_D consideration.

B. AM-PM DISTORTION

The ILPA tank is tuned at ω_0 for the maximum output power which is achieved at a maximum K value. As K

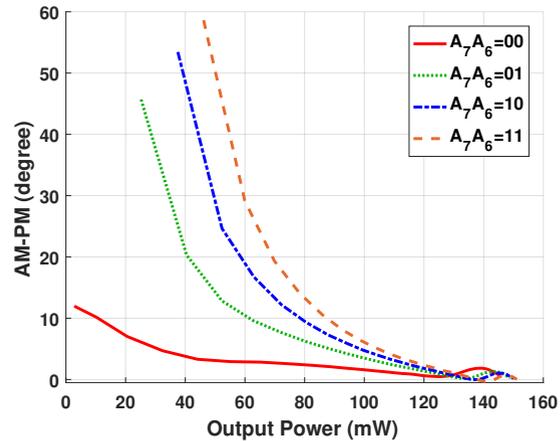


FIGURE 13. Simulated AM-PM distortion in the ILPA for different PO AM bits A_7A_6 .

is reduced by switching-off slices of the PA for the power back-off, the capacitance contribution of the PA transistors towards the tank capacitance decreases. This causes the tank to shift to a higher frequency, ω_{0K} , for a K value. This tank looks inductive and provides a phase of ϕ_{0K} at the injected frequency, ω_0 , as depicted in Fig. 11(a). When this tank is locked at ω_0 , a phase difference of θ_{0K} occurs between the injected current and the oscillator current. This phase difference is contributed by ϕ_{0K} and β_{0K} as shown in Fig. 11(a) and Fig. 11(b), and is given as

$$\theta_{0K} = \phi_{0K} + \beta_{0K}. \quad (15)$$

From Fig. 11(b), β_{0K} and ϕ_{0K} are related as

$$\frac{I_{osc}}{\sin(\beta_{0K})} = \frac{KI_{osc}}{\sin(\phi_{0K})}, \quad (16)$$

which can be simplified to

$$\sin(\beta_{0K}) = \frac{\sin(\phi_{0K})}{K}, \quad (17)$$

which implies that β_{0K} increases with ϕ_{0K} and reduces with K . As K reduces for power back-off, the tank shifts to a higher ω_{0K} which increases both ϕ_{0K} and β_{0K} leading to a larger θ_{0K} . This change in θ_{0K} with K leads to AM-PM distortion in the ILPA.

It should be noted that ϕ_{0K} also appears as AM-PM distortion in an ICDPA and, therefore, only β_{0K} is the excess contribution to AM-PM distortion from the IL phenomenon in an ILPA. Consequently, an ILPA shows worse AM-PM distortion than an ICDPA. Differentiating (17) and rearranging, it can be seen that

$$\frac{d\beta_{0K}}{dK} = -\frac{\sin \phi_{0K}}{\cos \beta_{0K} K^2} = -\frac{\sin \phi_{0K}}{K^2 \sqrt{1 - \left(\frac{\sin \phi_{0K}}{K}\right)^2}} \quad (18)$$

which suggests that the rate of change of β_{0K} with K becomes small for higher K values. Therefore, AM employing higher K values alleviates the AM-PM distortion in an ILPA by reducing the change in excess distortion component β_{0K} .

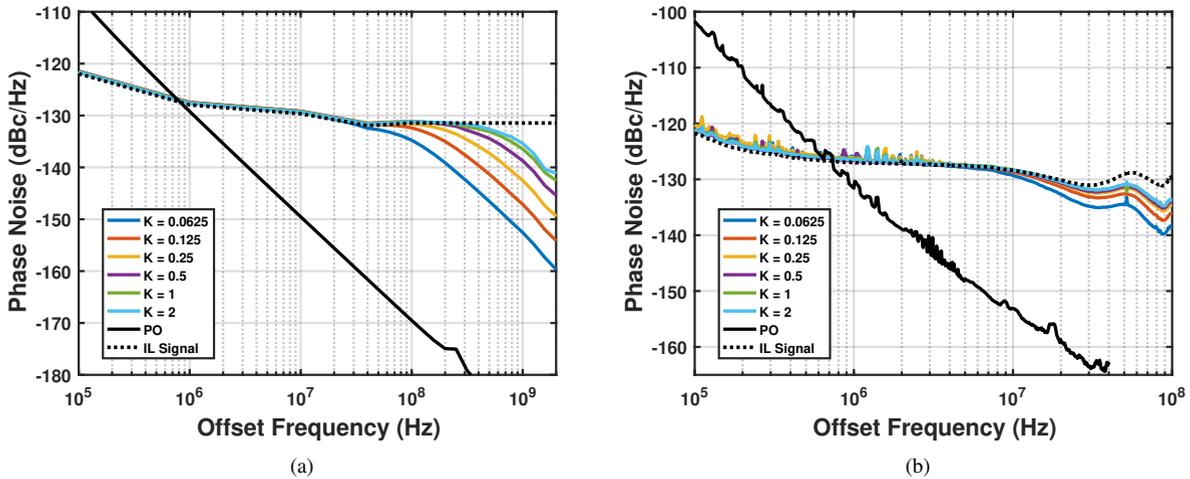


FIGURE 14. (a) Simulated and (b) measured PN of the PO and the ILPA for different K values.

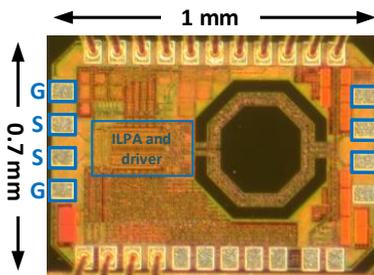


FIGURE 15. Chip micrograph of the ILPA.

To elucidate further, Fig. 12 shows the calculated θ - K plots for the LC tanks with center frequencies of ω_{0K} s which are injection locked at ω_0 . In a θ - K curve, θ is the AM-PM distortion for a K value. Three θ - K curves (a), (b) and (c) have been chosen and depicted in the figure for further explanation.

Each of the curves in Fig. 12 is a θ - K plot for a certain ω_{0K} and phase ϕ_{0K} . For a constant ϕ_{0K} , a decrease in K results in an increase in β_{0K} and, therefore, an increase in θ_{0K} . A minimum K value of $K_{min\omega_{0K}} = \sin \phi_{0K}$ is required to lock the tank, therefore, the θ - K curve starts from $K = K_{min\omega_{0K}}$. Also, the range of θ_{0K} is confined between ϕ_{0K} and $90^\circ + \phi_{0K}$. The value $\theta_{0K} = \phi_{0K}$ is theoretically achieved at an infinite K , while $\theta_{0K} = 90^\circ + \phi_{0K}$ is achieved at $K_{min\omega_{0K}}$, i.e., at the edge of the lock-range.

Two observations can be made from these θ - K plots: (1) a larger $K_{min\omega_{0K}}$ value is required to lock a tank having ω_{0K} further away from ω_0 causing a rightward shift of the start of θ - K curves for a higher ω_{0K} . (2) θ - K curves for a higher ω_{0K} shift upwards on the θ -axis. In the case where the injected signal frequency is same as the tank center frequency, the θ - K curve lies flat having $\theta = 0$ for all K .

The θ - K curve for an ILPA is shown with a black curved line. In the ILPA, ω_{0K} and ϕ_{0K} changes continuously with the reduction in K value. The θ for an ILPA move upwards and leftwards along the family of θ - K curves with decreasing K to trace the AM-PM distortion trajectory.

The simulated AM-PM distortion of the ILPA is shown in Fig. 13 when the bits $A_0 - A_5$ are varied for power back-off for the A_7A_6 bit values of 00, 01, 10 and 11. It can be observed that the AM-PM distortion for the ILPA is minimum when the PO is turned off ($A_7A_6 = 00$), and maximum at the highest PO width ($A_7A_6 = 11$). This agrees with the above AM-PM analysis on degraded AM-PM distortion of ILPA as compared with ICDPA.

C. PHASE NOISE PERFORMANCE

The EVM of a PA output signal determines the modulated signal quality of a TX. Usually PAs are driven by the signal from a clean LO, and TX PN, therefore, is not an issue. However, when an ILPA is implemented, it incorporates a PO. Prior-art ILPAs [10]–[19] predominantly worked as ILPOs, a topology in which the overall PN behavior of the ILPA is more impacted by the PO, but a PN analysis was never presented.

In an FDD system, with the TX transmitting at maximum power, the noise of the TX at the RX frequency can leak through the diplexer into the RX. Thus it is beneficial to reduce the out-of-band noise of the TX. An ILPA topology further helps to reduce this noise, as is shown next. Without the injection locking, a PO having a low loaded Q suffers from a poor close-in phase noise. With the IL frequency and the center frequency of the PO aligned, the PN of the ILPA follows the PN of the clean injection locking signal up to the lock-range from the center frequency [3], [9].

Fig. 14(a) shows the simulated PO and ILPA phase noise for different K values. The reference injected signal PN and the unlocked PO PN are shown in dotted and solid black lines, respectively. At the minimum $K = 0.0625$, the lock-range is minimum and is 46 MHz from (6). It can be seen that the simulated ILPA PN follows the reference IL signal PN until around 40 MHz, after which it starts to approach the PO PN profile. As lock-range increases for larger K values, the ILPA PN profile follows the reference IL till larger bandwidths before departing towards PO PN. The measured PO and ILPA PN for different K values

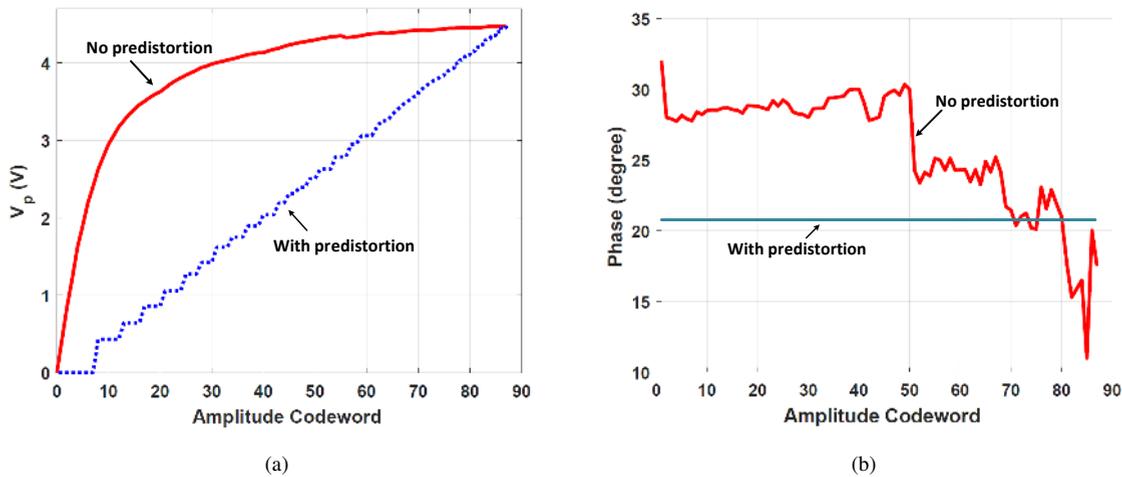


FIGURE 16. Measured (a) AM-AM and (b) AM-PM distortion with the amplitude codeword.

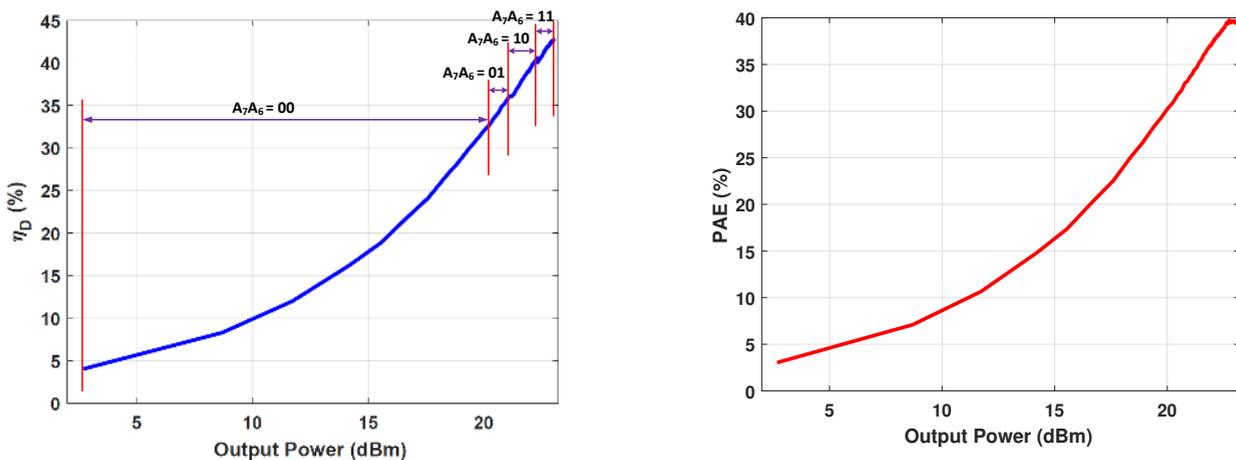


FIGURE 17. Measured η_D of the ILPA.

are shown in Fig. 14(b). At the minimum value of $K = 0.0625$, the measured lock-range is around 10 MHz, which is slightly smaller than the simulated value. This difference is mostly attributed to the practical issue of drift in the unlocked PO self-resonance frequency in measurements. For higher K values, the measured ILPA PN profile follows the reference IL signal for larger offset frequency, which agrees well with the simulation results in Fig. 14(a) and the theory [3]. PN measurements are performed using BNC 7000 series signal source analyzer (SSA). Measurements are done for the maximum offset frequency supported by the BNC SSA which is 40 MHz for the high drift PN mode (for PO measurements) and 100 MHz for the regular PN mode (for ILPA measurements). Simulations are shown till higher offset frequencies to show the PN improvement more clearly.

An interesting observation is that the lock-range of the ILPA is larger than the PN cross-over frequency of PO and ILPA signals (800 kHz offset frequency, in measured and simulated results) due to low tank Q . Therefore, the PN of the ILPA follows the IL signal PN even after the cross-over frequency until the lock-range where it diverges towards the

PO PN. The divergence increases for a lower K value. Thus, PN is improved for higher offset frequencies in the ILPA because of the PO.

VI. MEASUREMENT RESULTS

The proposed ILPA is implemented in 65 nm CMOS process in an area of $1 \times 0.7 \text{ mm}^2$, with the chip micrograph shown in Fig. 15. Separate power supplies are used for PA core and input driver buffer. The chip is mounted on FR4 board, and the supply and ground reach the chip through bondwires. Two bond-wires provide the power supply and eight bond-wires are used for ground connections. A single-ended PM signal from an Agilent N5182B vector signal generator (VSG) is converted into a differential input signal through an off-chip balun. Differential inputs are provided through GSSG probe and the single-ended output is taken using a GSG probe. AM bits are provided from an Agilent 81200 data generator.

The measured AM-AM and AM-PM distortion before and after the predistortion are shown in the Fig. 16(a) and 16(b), respectively. It can be seen that AM-PM distortion is code dependent and a maximum phase change of 22° occurs among the codes for realizing 64-QAM. Static digital

TABLE 1. Performance comparison with digital and injection locking PAs

	This work	[27]	[28]	[29]	[30]	[31]	[12]	[21]	[22]
Freq. (GHz)	2.5	2.25	2.4	2.6/4.5	2.25	2	2.35	1.95	0.6-6
VDD (V)	1.45	1	2.8/1.55	3	1.5/3	1.2/2.4	1	3	3
Peak P_{out} (dBm)	23	21.8	24.6	28.1/26	25.2	20.5	9.5	28.7	27.9 (at 0.75 GHz)
Peak PAE (%)	40	41	–	35/21.2	45	20	43	68 (at 3 dB back-off)	59.6 (at 1 GHz)
η_D (%)	42.7	44	45.6	40.7/27	–	–	48.5	–	–
CMOS Tech. (nm)	65	65	65	65	90	65	180	65	65
Modulation	64-QAM (50 MSym)	64-QAM (20 MHz OFDM WLAN)	64-QAM (20 MSym)	256-QAM (8 MSym)	64-QAM (20 MHz OFDM)	64-QAM (10 MHz LTE)	CW signal	16-QAM (20 MHz LTE)	16-QAM (20 MHz LTE)
EVM (dB)	-30.2	-28	-25.6	-36.3/-34.6	-31.8	-28.9	–	-26.6	-26.1
Av. P_{out} (dBm)	18.1	14	17.6	20.37/18.53	17.7	14.5	–	20.3	18.9
Av. η_D (%)	27.9	18	27.5	16.26/13.42	–	–	–	–	–
Av. PAE (%)	25.8	–	–	–	27	12.2	–	44.1 (w/o SM)	27.9
Matching Network	On-chip	On-chip	On-chip	On-chip	On-chip	On-chip	On-chip	Off-chip	Off-chip
PA Architecture	Digital	Digital	Digital	Digital	Digital	Digital	class-E	Linear + SM	Linear + SM
IL employed	Yes	No	No	No	No	No	Yes	Yes	Yes

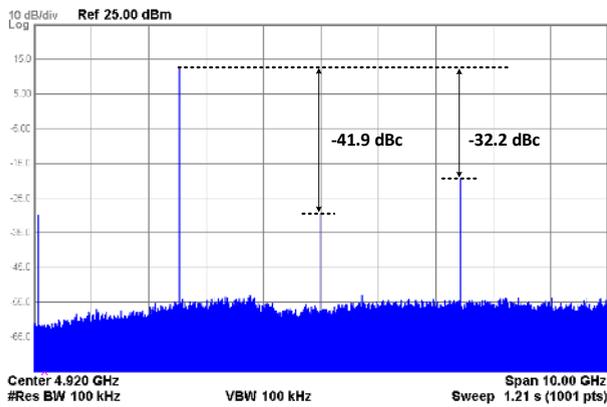


FIGURE 19. Measured continuous wave output spectrum when only PO is turned ON.

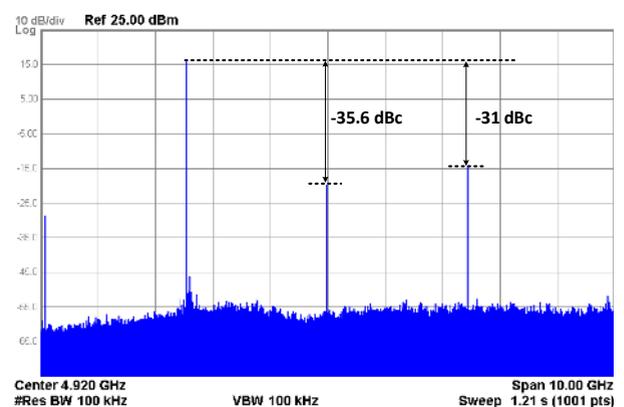
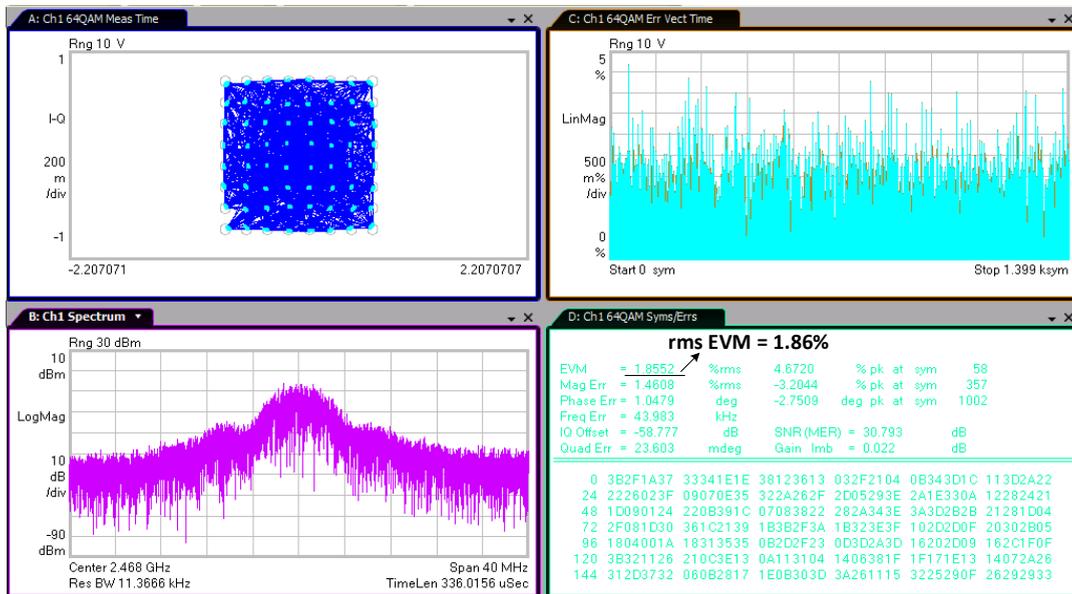


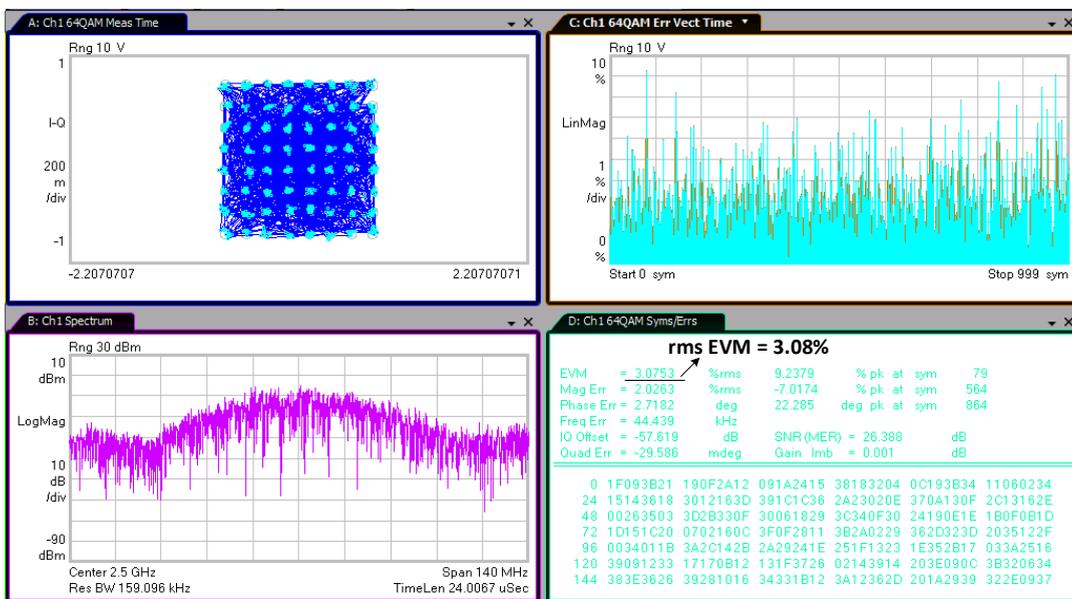
FIGURE 20. Measured continuous wave output spectrum when both the PO and the ICDPA are turned ON.

predistortion is used to correct for the amplitude and phase non-linearities. A digital predistorter is built using two lookup tables (LUTs), one each for the amplitude and the phase [27]-[30]. The inverse of the amplitude curve is used to map AM codeword to a new codeword for the AM-AM LUT to correct for amplitude non-linearity. Similarly, the phase non-linearity corresponding to AM codeword is populated in AM-PM LUT and then subtracted from the PM signal to obtain the predistorted PM signal. The predistorted AM and PM signals are then loaded into digital pattern generator and VSG, respectively, for dynamic measurements. It can be noted that while the RFDAC quantization error limits the accuracy for the pre-distorted AM signal, the PM signal compensated for the AM-PM predistortion was directly loaded into the vector signal generator and, therefore, the phase error is only limited by the instrument’s resolution. Thus, the residual AM-PM nonlinearity after the predistortion is negligible [27]. Fig.

17 and 18 shows the measured η_D and PAE of the ILPA. The bits $A_5 - A_0$ are used to change ICDPA width, and the bits A_7A_6 change the PO tail current in the ILPA as described in Section IV-B. The bits $A_5 - A_0$ are swept for different combinations of bits A_7A_6 in the ILPA and then the codes which provide highest η_D for a power back-off value are selected for the ILPA operation. Fig. 17 is marked with the PO AM codes, from the AM bit sweeps, which provide the highest drain efficiency across different range of output power. This selection ensures that the highest average drain efficiency is achieved in the modulation measurements. A maximum η_D of 42.7% and maximum PAE of 40% is achieved for ILPA at highest P_{out} of 200.5 mW from a 1.45 V PA power supply at 2.5 GHz. The driver operates from 1 V power supply. The PA driver along with supporting digital circuits consumes 38 mW of power. The PA output is attenuated by 6.8 dB due to RF attenuator, cables, connectors, and output probe. For efficiency calculations, the supply and



(a)



(b)

FIGURE 21. 64-QAM measurement results for (a) 5 MSym/s and (b) 50 MSym/s.

ground have been de-embedded up to the PCB level only and therefore IR drop in the PCB traces and bondwires are not taken in account. Performance comparison of the ILPA with other digital PAs and ILPAs is shown in Table 1. The ILPAs, [21], [22], use an off-chip matching network and an off-chip supply modulator (SM).

The measured continuous wave output when only PO is turned ON in the ILPA is shown in Fig. 19 and when both the PO and the ICDPA in the ILPA are turned ON, is shown in Fig. 20. The measured self-oscillation frequency of the PO is 2.45 GHz and the P_{out} is 19.6 dBm at 1.45 V. The peak P_{out} increases by 3.4 dB when ICDPA is also turned

ON in the ILPA as shown in Fig. 20. This follows the design insights of Fig. 4 for the peak P_{out} of the PO to be less than half of the peak P_{out} of the ILPA for improving η_D . The measured second and third harmonic rejections at peak P_{out} of the ILPA are -35.6 dBc and -31 dBc, respectively, without additional filtering.

The dynamic performance of the ILPA is characterized by modulation tests with 64-QAM signals at 1/5/10/20/50 MSym/s. The peak-to-average power ratio of the modulation signals is 4.9 dB. A measurement set-up similar to [30] is used. An upper limit of 50 MSym/s is due to the maximum modulation bandwidth limitation for the VSG (50 MHz). An

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