

Clathrate hydrates in interstellar environment

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Edited by Francois Forget, Laboratoire de Météorologie Dynamique, Paris, France, and accepted by Editorial Board Member Jean Jouzel December 10, 2018 (received for review August 18, 2018)

Clathrate hydrates (CHs) are ubiquitous in earth under high-pressure conditions, but their existence in the interstellar medium (ISM) remains unknown. Here, we report experimental observations of the formation of methane and carbon dioxide hydrates in an environment analogous to ISM. Thermal treatment of solid methane and carbon dioxide–water mixture in ultrahigh vacuum of the order of 10^{-10} mbar for extended periods led to the formation of CHs at 30 and 10 K, respectively. High molecular mobility and H bonding play important roles in the entrapment of gases in the in situ formed 5^{12} CH cages. This finding implies that CHs can exist in extreme low-pressure environments present in the ISM. These hydrates in ISM, subjected to various chemical processes, may act as sources for relevant prebiotic molecules.

clathrate hydrate | interstellar medium | ISM | ultra-high vacuum | amorphous solid water

Clathrate hydrates (CHs) are crystalline inclusion compounds in which different guest molecules are encased in H-bonded water cages (1). These trapped molecules are generally small such as CH_4 , CO_2 , N_2 , H_2 , etc. Among several such known CHs, those of CH_4 and CO_2 have drawn close attention of the scientific community due to their use as potential future source of energy (1, 2) and in CO_2 sequestration (3), respectively. Natural methane and carbon dioxide hydrates are found in permafrost and marine sediments on the outer continental shelves (4) at ambient temperatures (<300 K) and moderately high pressures (6 atm) (1). They could also exist in many solar system bodies such as in the Martian permafrost (5–7), on the surface of Titan (8), and on other icy satellites due to the prevalent thermodynamic (high P, low T) conditions (9). The stabilizing conditions (generally high pressures) of methane hydrate suggest that it is nonexistent in ultrahigh vacuum (UHV). Near zero diffusion prevents molecular rearrangements at cryogenic conditions, making the formation of cage structures impossible for water at interstellar temperatures. Therefore, it is not surprising that there is no report of its existence in conditions of relevance to space. Using thermodynamic data of methane hydrate available in the literature, its stability was extrapolated to low T, P region as shown (*SI Appendix, Fig. S1*), which suggests stability up to 2×10^{-6} mbar at 30 K. This temperature and pressure range is very close to nebular pressures, which could reach as high as 10^{-3} mbar, and the temperature range can vary from 4 to 100 K (9). Equilibrium condensation curve of methane hydrate in protostellar nebula also suggests its stability $\sim 1 \times 10^{-8}$ mbar at 45 K (10).

Experimentally, in situ formation of CHs in UHV, and cryogenic interstellar conditions has not been explored. However, it was proposed that at low pressure, CH may be grown epitaxially on other preformed CHs or by annealing the condensed gas–water mixture (11). CH of CO_2 was obtained in a vacuum of 10^{-6} torr, but at 120 K (12). We have adopted the annealing method to obtain CHs. In experiments conducted in the temperature window of 10–160 K and at 10^{-10} mbar pressure, we observed CH_4 and CO_2 hydrates at temperatures near 30 and 10 K, respectively. Molecular mobility and structural rearrangement observed in these experiments at cryogenic conditions suggest unusual processes in water. The anomalous eruption of CH_4 ,

CO_2 , or other volatile gases in cold interstellar clouds or in comets could possibly be explained by the existence of such hydrates in gas-forming regions of the interstellar space (10, 12). Irradiation or annealing leads to the chemical evolution of ice in ISM, forming new species (13). CHs could be one such new chemical system in the ISM, which may be subjected to additional processing.

Results and Discussion

Fig. 14 displays time-dependent reflection absorption infrared (RAIR) spectra of 300 monolayers (MLs; 1 monolayer is equivalent to $\sim 1.0 \times 10^{15}$ molecules $\cdot \text{cm}^{-2}$) of a codeposited mixture (1:1) of CH_4 and water at the C–H antisymmetric stretching region at three different temperatures (10, 20, and 30 K) and two different annealing times (0 and 25 h) under UHV. The annealing time is crucial for the success of the experiment. The figure clearly shows no change in peak position for the C–H antisymmetric stretching band of solid CH_4 ($3,009 \text{ cm}^{-1}$) with time, at 10 and 20 K. This peak is due to the untrapped CH_4 ; in other words, CH_4 hydrate was not observed at 10 and 20 K. A completely new IR peak ($3,017 \text{ cm}^{-1}$) appears alongside the peak at $3,009 \text{ cm}^{-1}$ after 25 h of annealing at 30 K. This new peak ($3,017 \text{ cm}^{-1}$) is attributed to the CH_4 hydrate, where CH_4 is trapped in the CH cage. The rest of the untrapped CH_4 remained in the pores of amorphous ice. The experimental blue shift of 8 cm^{-1} is due to the entrapment of CH_4 in the hydrate cage. Here, the trapped CH_4 inside the CH cage behaves more

Significance

Formation of clathrate hydrate (CH) requires high pressures and moderate temperatures, which enable their existence in marine sediments and the permafrost region of earth. The presence of CHs in interstellar medium (ISM) is still in question due to the extreme high vacuum and ultracold conditions present there. Here, we conclusively identified methane and carbon dioxide hydrates in conditions analogous to ISM. We found that molecular mobility and interactions play crucial roles in the formation of CHs, even though there is no external pressure to force cage formation. Various chemical processes on these hydrates in ISM may lead to relevant prebiotic molecules.

Author contributions: J.G. and T.P. designed research; J.G. and R.R.J.M. performed research; J.G., R.R.J.M., and T.P. contributed new reagents/analytic tools; J.G., R.R.J.M., R.G.B., G.R., N.C., R.K., and T.P. analyzed data; and J.G., R.R.J.M., R.G.B., G.R., R.K., and T.P. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission. F.F. is a guest editor invited by the Editorial Board.

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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1814293116/-DCSupplemental.

Published online January 10, 2019.

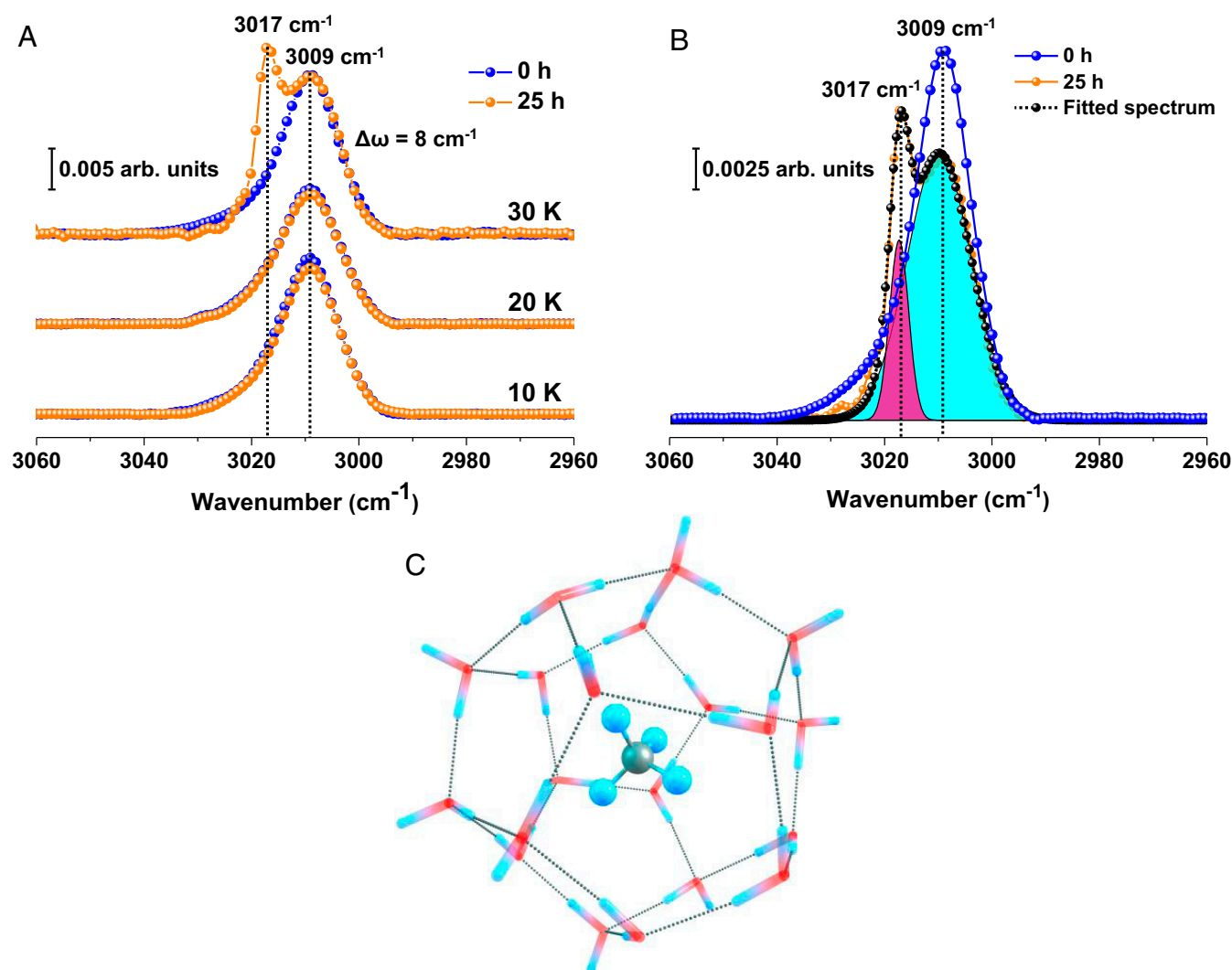


Fig. 1. CH₄ hydrate formation as studied by RAIR spectroscopy and quantum chemical calculations. (A) Normalized time-dependent RAIR spectra of 300 MLs CH₄+H₂O (1:1) mixed ice at 10, 20, and 30 K at the C–H antisymmetric stretching region. (B) Time-dependent RAIR spectra of the same system at 30 K. Here, the blue trace was divided by a factor of 7 to match the intensity of orange trace. Difference in intensity is due to desorption of CH₄ at 30 K, near its desorption temperature. Deconvoluted IR peaks are shown by cyan (3,009 cm⁻¹) and pink shade (3,017 cm⁻¹). (C) DFT-optimized structure of CH₄ trapped within CH (5¹² cage). Here, water cage and guest molecule (CH₄) are shown. Color code used: gray, C; red, O; cyan, H.

like gaseous CH₄ as expected. It is known that vibrational frequency of free guest molecules in CH fall in between their vapor and condensed phase frequencies (14). The IR peak was deconvoluted to show the actual concentration of CH₄ trapped inside the hydrate cages and pores of amorphous solid water (ASW). The peak widths were calculated upon deconvolution, and the values were 14.1 and 4.2 cm⁻¹ for the peaks at 3,009 and 3,017 cm⁻¹, respectively. Note the reduced width (4.2) of 3,017 cm⁻¹ for the hydrate peak, characteristic of a unique structure. Taking the IR intensity, the extent of CH₄ in the hydrate form was estimated to be 12.71% of the total CH₄ at this condition. As the annealing temperature is close to that of desorption, about 6/7 of the adsorbed CH₄ desorbs during annealing. Furthermore, we confirmed the formation of CH₄ hydrate by quantum chemical calculations. Density functional theory (DFT) calculations of the CH₄ hydrate revealed that the small cage (5¹²) as shown in Fig. 1C is favorable to form at this particular condition. Our computationally determined shift in the C–H antisymmetric mode during hydrate formation closely matches with the experimental value (*SI Appendix, Table S1*). A microsecond molecular dynamics simulation of CH₄ hydrate nucleation

predicts preferential formation of smaller 5^{12} cages in the initial stages of CH_4 hydrate nucleation, supporting our observation (2).

Keeping the CH₄ and water ice mixture at 30 K for more than 25 h results in the formation of CH₄ hydrate. The long experimental time scale and the temperature (30 K), very near to the desorption temperature of CH₄, are two crucial factors for the formation of CH₄ hydrate under UHV conditions. We propose that prolonged subjugation of CH₄-water mixture at 30 K enhances the mobility of CH₄ molecules and leads to its insertion within the cages formed simultaneously. In a time-dependent study of 150 MLs of pure solid CH₄ at 25 K (*SI Appendix, Fig. S2*), the additional peak (3,017 cm⁻¹) was not observed. This is again a piece of evidence that the above peak is due to CH₄ hydrate.

To support our claim of the formation of CH in ISM, we have chosen a more stable hydrate, namely that of CO₂, which is already known to form CH at 120 K and 10⁻⁶ torr (12). Fig. 24 represents the temperature-dependent RAIR spectra of 300 MLs of the codeposited mixture (1:5 ratio) of CO₂ and water in the C = O antisymmetric stretching region. The figure shows two IR peaks for the C = O antisymmetric stretching band of solid CO₂ at 10 K. The peak at 2,353 cm⁻¹ is attributed to the untrapped CO₂ that exists

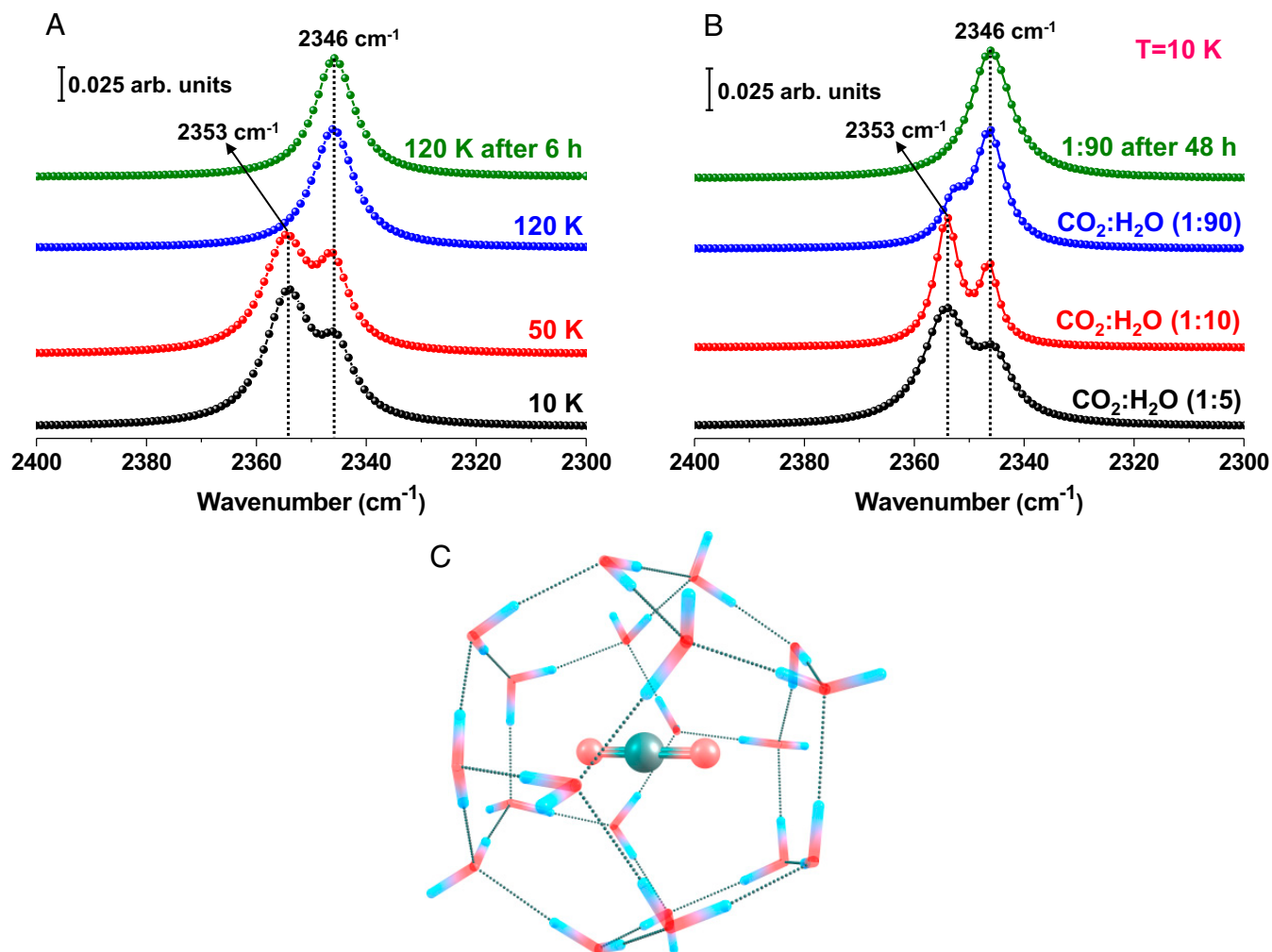


Fig. 2. CO₂ hydrate formation as studied by RAIR spectroscopy and quantum mechanical calculations. (A) Normalized temperature dependent RAIR spectra of 300 MLs CO₂+H₂O (1:5) mixed ice at C = O antisymmetric stretching region. A new peak at 2,346 cm⁻¹ arises due to the formation of CO₂ hydrate. (B) Ratio-dependent RAIR spectra of 300 MLs CO₂+H₂O at 10 K (normalized). (C) DFT-optimized structure of CO₂ trapped inside CH (5¹²) cage. Here, water cage and guest molecule (CO₂) are shown. Color code used: gray, C; red, O; cyan, H.

outside of the CH cage, and in the amorphous pores of water ice. The other peak, positioned at 2,346 cm⁻¹, is due to the CO₂ entrapped in the CH cage (15, 16). Now, as the system was annealed further to 50 K (heating rate = 2 K · min⁻¹), the intensity of the CO₂ hydrate peak (2,346 cm⁻¹) increased and that of the free CO₂ peak (2,353 cm⁻¹) decreased. At 120 K, the untrapped CO₂ peak vanished completely and only the CO₂ hydrate peak remained. It indicates that the annealing of CO₂ mixed ice leads to the gradual formation of CO₂ hydrate and the transformation is complete at 120 K. Furthermore, no change in CO₂ hydrate peak position (2,346 cm⁻¹) was observed when the system was kept at 120 K for 6 h (Fig. 2A). This confirms that the CO₂ hydrate is quite stable in these analogous astrochemical conditions. It is also clear that CO₂ hydrate forms even at 10 K during deposition itself.

The stoichiometric ratio of water and guest molecules is an essential aspect of controlling the formation of CH (12). The ideal ratio of water and guest molecules is 20:1 for CH₃OH hydrate formed at 130 K and at 10⁻⁶ torr pressure (12). Fig. 2B shows the comparative formation of CO₂ hydrate at different ratios of CO₂:H₂O at 10 K under UHV. The figure clearly indicates that the intensity of 2,346 cm⁻¹ peak is maximum for a 1:90 mixture compared with the other ratios. This suggests the optimum ratio needed for CO₂ hydrate formation at 10 K, which is a very diluted mixed

ice. The shoulder at 2,353 cm⁻¹ vanished upon keeping the ice at 10 K for over 48 h as shown in Fig. 2B, suggesting that all of the remaining free CO₂ forms hydrate structure over time.

We confirmed the formation of CO₂ hydrate by quantum chemical calculations. These calculations revealed that the small cage (5¹²), as shown in Fig. 2C, is favorable to form. Our computationally determined shift in the C = O antisymmetric mode closely matches with the experimental vibrational shift upon hydrate formation. Here, CO₂ is interacting with the water cage through hydrogen bonding, and consequently, there is a red shift. This result agrees well with the experimental shift (SI Appendix, Table S1). Other possible cages computed (5¹²6² and 5¹²6⁴) have reduced or opposite shift, respectively (SI Appendix, Table S1). In SI Appendix, Table S2, we have presented the coordinates of optimized geometries of CH₄ and CO₂ in 5¹², 5¹²6², and 5¹²6⁴ CH cages. In SI Appendix, Table S3, we have added the harmonic frequencies calculated for different cages of CH₄, CH, and CO₂.

The rapid formation of CO₂ hydrate compared with the slow kinetics seen for CH₄ hydrate is because of the induced polarity of CO₂. Nucleation mechanism of CH formation varies for different guest molecules and can depend on their chemical nature (17). During the nucleation of CO₂ hydrate structure, it interacts

with water through stronger interaction, whereas CH_4 is unable to interact similarly. We extended the analysis using Bader's theory of atoms in molecules (AIM) to confirm the nature of interaction of guest molecules with hydrate cages. The electron density $\rho(\mathbf{r}_c)$ values obtained for the critical points between a particular atom of the guest species and the hydrate cage along with the corresponding Laplacian of the electron density ($\nabla^2\rho(\mathbf{r}_c)$) are listed in *SI Appendix, Table S4*. The higher value of electron density ($\rho(\mathbf{r}_c)$) for the critical point between the O atom of CO_2 and the hydrate cage (0.01563 a.u.) compared with that between the H atom of CH_4 (0.00598 a.u.) and the hydrate cage suggests that the interaction for CO_2 is stronger than that for methane.

In the previous experiments, codeposition of CO_2 and water results in CH. Sequential deposition was also carried out. Annealing of this sequentially deposited system, $\text{CO}_2\text{:H}_2\text{O}$ (1:5 ratio), did not result in CO_2 hydrate and the $2,346\text{ cm}^{-1}$ peak was not observed (*SI Appendix, Fig. S3*). Here, the peak at $2,381\text{ cm}^{-1}$ is attributed to pure multilayer CO_2 . This phenomenon strongly supports the fact that proper mixing of water and CO_2 is a crucial step for the formation of CO_2 hydrate. The codeposition method allows better mixing of CO_2 molecules with water, whereas sequential deposition does not. Sequential deposition of water over

CO_2 may result in diffusional mixing, but this does not lead to the formation of CH.

About 1% of $^{13}\text{CO}_2$ is present along with $^{12}\text{CO}_2$ naturally as shown (*SI Appendix, Fig. S4*). During the $^{12}\text{CO}_2$ hydrate experiment, $^{13}\text{CO}_2$ also shows CH upon annealing to 120 K. Temperature-dependent RAIR spectra in the $^{13}\text{C}=\text{O}$ antisymmetric stretching region (*SI Appendix, Fig. S5*), where the $2,282\text{ cm}^{-1}$ peak is due to untrapped $^{13}\text{CO}_2$ and that at $2,278\text{ cm}^{-1}$ is due to $^{13}\text{CO}_2$ hydrate (15).

The formation of CHs in ISM condition is further confirmed by temperature programmed desorption-mass spectrometry (TPD-MS). The trapped guest molecules within ASW are released when amorphous to crystalline ice transition occurs at 140 K. Fig. 3A represents the comparative TPD spectra before and after the formation of CH_4 hydrate. The spectra correspond to CH_4 desorption and were monitored using the intensity of CH_3^+ alone. Peaks at 38 and 46 K correspond to multilayer CH_4 and CH_4 trapped in ASW ($\text{CH}_4\cdot\text{ASW}$), respectively. These TPD peaks are assigned by a control study as shown (*SI Appendix, Fig. S6*). The CH_4 hydrate was formed by annealing a codeposited mixture at 30 K for 25 h, and during this course, most of the free CH_4 got desorbed, as observed from TPD. Desorption of CH_4 in trapped ASW got shifted to 53 K after the formation of CH. The abrupt release of trapped gases from ASW at 140 K is termed as

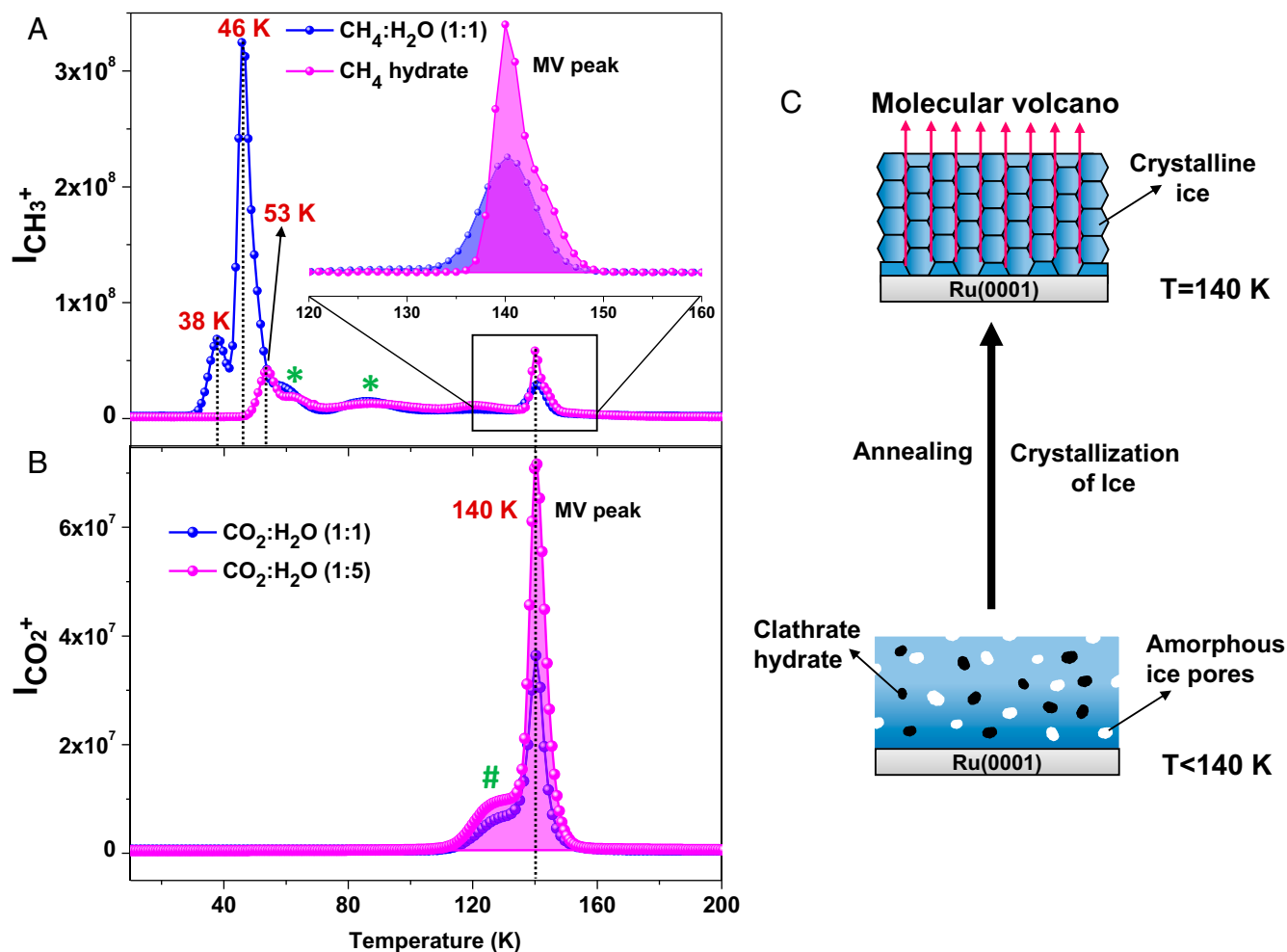


Fig. 3. TPD mass spectra of 300 MLs of codeposited ice systems at different ratio (heating rate = $30\text{ K} \cdot \text{min}^{-1}$). Here, the intensities of CH_3^+ ($m/z = 15$), and CO_2^+ ($m/z = 44$) are plotted. (A) Desorption of CH_4 after hydrate formation (magenta line) and before hydrate formation (blue line). MV peaks are shown in the *insets*. *, peaks are attributed to desorption due to structural transitions of ASW upon annealing. (B) Desorption of CO_2 after hydrate formation at different ratios, as indicated. #, the peak is due to the predissociation of CO_2 hydrate cage. (C) Schematic representation of MV upon crystallization of ice.

molecular volcano (MV) (18, 19). The intensity of MV peak (at 140 K) increases, upon the formation of CH₄ hydrate. Before the formation of CH₄ hydrate, the MV peak is due to the trapped CH₄ in ASW. The reason for the enhancement of MV peak intensity is the simultaneous release of trapped CH₄ from ASW pores as well as from the CH₄ hydrate cage (Fig. 3C). Note that the amount of gases deposited is the same in both the cases. Slight distortion in the MV peak is attributed to the modification of ASW pores due to CH formation (Fig. 3A). The amount of desorption due to CH is estimated to be 14.53% of the total CH₄ at this condition, and it is correlated to the amount of CH calculated from the IR data (Fig. 1B).

In Fig. 3B, we compared the TPD spectra of 300 MLs of CO₂+H₂O at two ratios, 1:1 and 1:5, which were deposited at 10 K. Then, these two systems were annealed at 120 K for the complete formation of CO₂ hydrate. After that, they were cooled back to 10 K, and TPD mass spectra were taken. The heating rate for TPD was 30 K · min⁻¹. The peak at 140 K corresponds to MV of CO₂. Fig. 3B shows that the intensity of MV increased as the ratio of CO₂ and H₂O was changed from 1:1 to 1:5. Taking the area under the MV peaks, the amount of CH formed was found 1.7 times higher for (1:5) than the former. As previously explained, the extent of formation of CO₂ hydrate is greater for the latter ratio (Fig. 2). Here again, the enhancement agrees with the IR data. No additional desorption of CH₄ and CO₂ above this temperature suggest that the hydrates have been decomposed.

Conclusion

We have shown that CHs can form in UHV and they can exist in the ISM conditions down to 10 K and 10⁻¹⁰ mbar. The anomalous eruption of volatile gases in ISM could be explained by the existence of hydrates. Enclathration of these gases and additional processing (e.g., irradiation, heating, etc.) may result in complex organic or prebiotic molecules. We believe that the present report may have an impact on both astronomy and chemistry.

Materials and Methods

Experimental Setup. Experiments were conducted in an ultrahigh vacuum instrument (base pressure ~10⁻¹⁰ mbar), which was described elsewhere (20, 21). Briefly, the instrument consists of a UHV chamber made of stainless steel, equipped with RAIR spectroscopy and TPD mass spectrometry. The spectrometer can also perform low energy ion scattering and secondary ion mass spectrometry, which have not been used in the present work (20). Vacuum of the order of ~10⁻¹⁰ mbar is an essential condition for simulating the condition of ISM. Vacuum was maintained by three oil-free Turbo molecular pumps backed by diaphragm pumps (Pfeiffer Vacuum). The system has a collective pumping speed of ~400 L/s. The UHV system is fully covered with a heating jacket, which allows an easy bake out over the weekend. The pressure of the experimental chamber is monitored by a Bayard-Alpert gauge (Pfeiffer Vacuum), controlled by a "MaxiGauge" vacuum gauge controller (Pfeiffer, Model TPG 256 A).

A thin film of ice was grown on top of a Ru(0001) single crystal that was mounted on a copper holder, which in turn was attached at the tip of a closed cycle helium cryostat (Coldedge Technologies). The substrate temperature could be controlled from 8 to 1,000 K. Comprehensive heat shielding and excellent thermal contact between the substrate holder and the cryofinger allowed us to achieve 8 K in 2 h. For the present study, the temperature was measured by a thermocouple sensor attached to the substrate. Repeated heating to 300 K before vapor deposition ensured surface cleanliness, adequate for the present experiments. Temperature ramping was controlled and monitored by a temperature controller (Lakeshore 336) (20).

Sample Preparation. For the formation of methane hydrate, ~99.99% pure methane gas, purchased from Rana Industrial Gases & Products, was used. The gas lines were connected to the experimental chamber through a high-precision all-metal leak valve through which the flow rate or deposition pressure of different gases was controlled. These two deposition tubes or gas lines were directed to the center of the substrate. Out of the two sample inlet lines, one was used exclusively for methane or carbon dioxide while the

other line was used exclusively for water vapor deposition. Here, Millipore water (H₂O of 18.2 MΩ resistivity), taken in a test tube, connected to the sample line through a glass-to-metal seal was used for the experiment. The Millipore water was further purified through several freeze-pump-thaw cycles before introduction into the UHV chamber. During the exposure of different samples into the UHV chamber, mass spectra were recorded with a residual gas analyzer (RGA) attached near to the sample inlet line. Recorded mass spectra were used as an indication of the purity of the samples as well as to measure the ratio of the mixtures. The ratio of the mixed ice was achieved by the proper adjustment of flow or inlet pressure of the sample gas by regulating the leak valves. The substrate was kept at a perpendicular position for the uniform growth of ice. Here, most of the experiments were performed using 300 MLs coverage of the mixed ice. One point to be noted is that all of the experiments were performed under multilayer deposition conditions, and therefore, the substrate does not play any significant role in the formation of CH. The deposition of molecular solids was controlled through leak valves, and ML coverage was calculated (18, 22) assuming that 1.33 × 10⁻⁶ mbar · s = 1 ML, which was estimated to contain ~1.1 × 10¹⁵ molecules · cm⁻². Surface coverages mentioned were quantitative by following a similar deposition method adopted elsewhere (23). The inlet pressure during the sample deposition was decided based on the coverage desired at the time of the experiment.

Typical Experimental Protocol. For the deposition of 300 MLs of 1:1 mixed methane and ice, the chamber was backfilled at a total pressure of ~5 × 10⁻⁷ mbar (where methane pressure was 2.5 × 10⁻⁷ mbar and water pressure was 2.5 × 10⁻⁷ mbar) and the mixture was exposed to the surface for 10 min. Evacuation of residual water from the experimental chamber is one of the most common issues with UHV experiments, particularly when we deposit large amounts of water. After deposition, we waited for a few minutes to reach the background pressure before starting the spectroscopic measurements. Periodic bake out of the chamber during weekends ensures the cleanliness of the chamber.

This 1:1 mixed methane and ice was slowly (heating rate = 2 K · min⁻¹) heated to 30 K, near the desorption temperature of methane. At this temperature, most of the methane sublimed, which was observed in the mass spectra recorded by the residual gas analyzer. After that, the remaining mixed ice was maintained at 30 K for over 25 h. The ice sample was constantly monitored by IR spectroscopy. In other words, time-dependent RAIRS were recorded over a period of 25 h. During such measurements, a few monolayers of additional water could be deposited but this is rather negligible to be reflected in the spectra. Variation of a few monolayers in coverage does not change the observed phenomena. During the time-dependent measurements, we maintained identical conditions from the beginning to the end. The position of the substrate, the external IR detector (mercury cadmium telluride; MCT), and the environment (dry N₂) in the IR spectrometer were kept constant throughout the experiment. A similar time-dependent RAIR study was carried out at 10 and 20 K also, and the spectra were collected for over 25 h as a separate set of experiments. IR exposure over extended periods did not have an effect on CH formation as revealed by studies at lower temperatures.

For the CO₂ hydrate study, 300 MLs of mixed ice was made by the codeposition of a mixture of CO₂:H₂O at 10 K. Different ratios (1:5, 1:10, and 1:90) of CO₂:H₂O were used. For each of the ratios, the total inlet pressure was kept at ~5 × 10⁻⁷ mbar, whereas the inlet pressures of CO₂ and water were varied according to the desired ratio. For the temperature-dependent measurements, after deposition of 1:5 ratio of CO₂:H₂O at 10 K, the sample was slowly heated (heating rate = 2 K · min⁻¹) up to 160 K. In another set of experiments, sequential deposition of CO₂ and water was carried out, where at first 150 MLs of CO₂ were deposited, which was followed by the deposition of 150 MLs of water at 10 K. By this way, we generated a sequentially deposited (CO₂@H₂O) film of equal coverage. A similar temperature-dependent IR study was carried out as described before, with this sequentially deposited film to observe the formation of CO₂ CH.

RAIRS Setup. RAIR spectra were recorded using a Bruker FT-IR spectrometer, Vertex 70. The external IR beam was focused onto the substrate using gold-plated mirrors through ZnSe windows (transparent to IR beam), attached to the vacuum chamber. The reflected IR beam from the substrate was refocused using another gold-plated mirror to a liquid N₂ cooled external MCT IR detector. The spectra were collected in the 4,000–550 cm⁻¹ range with 2 cm⁻¹ resolution. Each spectrum was an average of 512 scans to get a better signal-to-noise ratio. The IR beam path outside the UHV chamber was purged with dry N₂.

