

Approximation Algorithms for Connected Graph Factors of Minimum Weight

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Abstract Finding low-cost spanning subgraphs with given degree and connectivity requirements is a fundamental problem in the area of network design. We consider the problem of finding d -regular spanning subgraphs (or d -factors) of minimum weight with connectivity requirements. For the case of k -edge-connectedness, we present approximation algorithms that achieve constant approximation ratios for all $d \geq 2 \cdot \lceil k/2 \rceil$. For the case of k -vertex-connectedness, we achieve constant approximation ratios for $d \geq 2k - 1$. Our algorithms also work for arbitrary degree sequences if the minimum degree is at least $2 \cdot \lceil k/2 \rceil$ (for k -edge-connectivity) or $2k - 1$ (for k -vertex-connectivity). To complement our approximation algorithms, we prove

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that the problem with simple connectivity cannot be approximated better than the traveling salesman problem. In particular, the problem is APX-hard.

Keywords Graph factors · Edge-connectivity · Vertex-connectivity · Approximation algorithms

1 Introduction

The traveling salesman problem (Min-TSP) is a basic combinatorial optimization problem: given a complete graph $G = (V, E)$ with edge weights that satisfy the triangle inequality, the goal is to find a Hamiltonian cycle of minimum total weight. Phrased differently, we are looking for a subgraph of G of minimum weight that is 2-regular, connected, and spanning. While Min-TSP is NP-hard [11, ND22], omitting the requirement that the subgraph must be connected makes the problem polynomial-time solvable [21, 27]. In general, d -regular, spanning subgraphs (also called d -factors) of minimum weight can be found in polynomial time using Tutte's reduction [21, 27] to the matching problem. Cheah and Corneil [2] have shown that deciding whether a given graph $G = (V, E)$ has a d -regular connected spanning subgraph is NP-complete for every $d \geq 2$, where $d = 2$ is just the Hamiltonian cycle problem [11, GT37]. Thus, finding a connected d -factor of minimum weight is also NP-hard for all d .

The problem of finding connected d -factors of minimum weight is a fundamental problem in network design, where the usual setting is that there are connectivity and degree requirements. Then the goal is to find a cheap subgraph that meets the connectivity requirements and the degree bounds. Beyond simple connectedness, higher connectivity, such as k -vertex-connectivity or k -edge-connectivity, has been considered in order to increase the reliability of the network. Most variants of such problems are NP-hard. Because of this, finding good approximation algorithms for such network design problems has been the topic of a significant amount of research [1, 4, 6–10, 14, 16–20].

In this paper, we study the problem of finding low-cost spanning subgraphs with given degrees that meet connectivity requirements (they should be k -edge-connected or k -vertex-connected for a given k). Violation of the degree constraint is not allowed.

1.1 Problem Definitions and Preliminaries

1.1.1 Graphs and Connectivity

All graphs in this paper are undirected and simple. Let $G = (V, E)$ be a graph. In the following, $n = |V|$ is the number of vertices.

For a subset $X \subseteq V$ of vertices, let $\text{cut}_G(X)$ be the number of edges in G with one endpoint in X and the other endpoint in $\bar{X} = V \setminus X$. For two disjoint sets $X, Y \subseteq V$ of vertices, let $\text{cut}_G(X, Y)$ be the number of edges in G with one endpoint in X and the other endpoint in Y .

Two vertices $u, v \in V$ are *locally k -edge-connected* in G if there are at least k edge-disjoint paths from u to v in G . Equivalently, u and v are locally k -edge-connected in G if $\text{cut}_G(X) \geq k$ for all $X \subseteq V$ with $u \in X$ and $v \notin X$. Local k -edge-connectedness is an equivalence relation as it is symmetric, reflexive, and transitive. A graph G is *k -edge-connected* if all pairs of vertices are locally k -edge-connected in G .

Let $X \subseteq V$. We call X a *k -edge-connected component* of G if the subgraph induced by X is k -edge-connected. We call X a *locally k -edge-connected component* of G if all $u, v \in X$ are locally k -edge-connected in G . Note that every k -edge-connected component of G is also a locally k -edge-connected component of G , but the reverse is not true.

A graph G is *k -vertex-connected*, if the graph induced by the vertices $V \setminus K$ is connected for all sets $K \subseteq V$ with $|K| \leq k - 1$. Equivalently, for any two non-adjacent vertices $u, v \in V$, there exist at least k vertex-disjoint paths connecting u and v in G .

We note that testing if two vertices are locally k -edge-connected, if a graph is k -edge-connected, or if a graph is k -vertex-connected can be done in polynomial time. For an overview of connectivity and algorithms for computing connectivity and connected components, we refer to two surveys [13, 15].

For a vertex $v \in V$, let $N_G(v) = N(v) = \{u \in V \mid \{u, v\} \in E\}$ be the neighbors of v in G . The graph G is *d -regular* if $|N(v)| = d$ for all $v \in V$. A d -regular spanning subgraph of a graph is called a *d -factor*. Instead of using the same value d for all vertices, we also consider spanning subgraphs where the degree of each vertex v is required to be d_v (Section 4).

By abusing notation, we identify a set $X \subseteq V$ of vertices with the subgraph induced by X . Similarly, if the set of vertices is clear from the context, we identify a set F of edges with the graph (V, F) .

1.1.2 Problem Definitions

Let $G = (V, E)$ be an undirected, complete graph with non-negative edge weights w . The edge weights are assumed to satisfy the triangle inequality, i.e., $w(\{u, v\}) \leq w(\{u, x\}) + w(\{x, v\})$ for all distinct $u, v, x \in V$. For some set $F \subseteq E$ of edges, we denote by $w(F) = \sum_{e \in F} w(e)$ the sum of its edge weights. The weight of a subgraph is the weight of its edge set.

The problems considered in this paper are the following: as input, we are given G and w as above. Then $\text{Min-}d\text{Reg-}k\text{Edge}$ denotes the problem of finding a k -edge-connected d -factor of G of minimum weight. Similarly, $\text{Min-}d\text{Reg-}k\text{Vertex}$ denotes the problem of finding a k -vertex-connected d -factor of G of minimum weight.

Some of these problems coincide:

- The two problems $\text{Min-}d\text{Reg-}1\text{Edge}$ and $\text{Min-}d\text{Reg-}1\text{Vertex}$ are identical for all d since 1-edge-connectedness and 1-vertex-connectedness are simply connect- edness.
- For $k \in \{1, 2\}$, the problems $\text{Min-}2\text{Reg-}k\text{Edge}$ and $\text{Min-}2\text{Reg-}k\text{Vertex}$ are identical to the traveling salesman problem (Min-TSP).

- For even d and k , the problems $\text{Min-}d\text{Reg-}(k-1)\text{Edge}$ and $\text{Min-}d\text{Reg-}k\text{Edge}$ are identical. For even d , every d -factor can be decomposed into $d/2$ 2-factors. Thus, the size of every cut is even. Therefore, every d -regular $(k-1)$ -edge-connected graph is automatically k -edge connected for even k .
- For $k \in \{1, 2, 3\}$, the two problems $\text{Min-}3\text{Reg-}k\text{Edge}$ and $\text{Min-}3\text{Reg-}k\text{Vertex}$ are identical since edge- and vertex-connectivity are equal in cubic graphs [28, Theorem 4.1.11].

We also consider the generalizations of $\text{Min-}d\text{Reg-}k\text{Edge}$ and $\text{Min-}d\text{Reg-}k\text{Vertex}$ to arbitrary degree sequences: for $\text{Min-}d\text{Gen-}k\text{Edge}$, we are given as additional input a degree requirement $d_v \in \mathbb{N}$ for every vertex v . The parameter d is a lower bound for the degree requirements, i.e., we have $d_v \geq d$ for all vertices v . The goal is to compute a k -edge-connected spanning subgraph in which every vertex v is adjacent to exactly d_v vertices. $\text{Min-}d\text{Gen-}k\text{Vertex}$ is analogously defined for k -vertex-connectivity. For the sake of readability, we restrict the presentation of our algorithms in Sections 2 and 3 to $\text{Min-}d\text{Reg-}k\text{Vertex}$ and $\text{Min-}d\text{Reg-}k\text{Edge}$, and we state the generalized results for $\text{Min-}d\text{Gen-}k\text{Vertex}$ and $\text{Min-}d\text{Gen-}k\text{Edge}$ only in Section 4.

We use the following notation: OptE^k denotes a k -edge-connected subgraph of minimum weight. OptV^k denotes a k -vertex-connected subgraph of minimum weight. For both, no degree requirements have to be satisfied. OptF_d denotes a (not necessarily connected) d -factor of minimum weight. optEF_d^k and OptVF_d^k denote minimum-weight k -edge-connected and k -vertex-connected d -factors, respectively.

We have $w(\text{OptF}_d) \leq w(\text{optEF}_d^k) \leq w(\text{OptVF}_d^k)$ since every k -vertex-connected graph is also k -edge-connected. Both $w(\text{optEF}_d^k)$ and $w(\text{OptVF}_d^k)$ are monotonically increasing in k . Furthermore, $w(\text{OptE}^k) \leq w(\text{optEF}_d^k)$ for every d and $w(\text{OptV}^k) \leq w(\text{OptVF}_d^k)$ for every d .

We denote by MST a minimum-weight spanning tree of G .

1.2 Previous and Related Results

Without the triangle inequality, the problem of computing minimum weight k -vertex-connected spanning subgraphs can be approximated within a factor of $O(\log k)$ [3], and the problem of computing minimum weight k -edge-connected spanning subgraphs can be approximated within a factor of 2 [16]. However, no approximation at all seems to be possible without the triangle inequality if we ask for specific degrees. This follows from the inapproximability of non-metric TSP [29, Section 2.4].

With the triangle inequality, we obtain the same factor of 2 for k -edge-connected subgraphs of minimum weight without degree requirements [16]. For k -vertex-connected spanning subgraphs of minimum weight without degree constraints, Kortsarz and Nutov [17, Theorem 4.2] gave a $(2 + \frac{k-1}{n})$ -approximation algorithm.

$\text{Min-}k\text{Reg-}k\text{Vertex}$ and $\text{Min-}k\text{Reg-}k\text{Edge}$ admit constant factor approximations for all $k \geq 1$ [1]. We refer to Tables 1 and 2 for an overview of results on k -vertex-connected and k -edge-connected d -factors.

Fukunaga and Nagamochi [8] considered the problem of finding a minimum-weight k -edge-connected spanning subgraph with given degree requirements. Different from the problem that we consider, they allow multiple edges between vertices.

Table 1 Overview of approximation ratios for Min- d Reg- k Vertex

k	d	ratio	reference
$\in \{1, 2\}$	$= 2$	1.5	same as problem as Min-TSP [29, Section 2.4]
$\in \{1, 2, 3\}$	$= 3$		same as Min- d Reg- k Edge
$= 1$	arbitrary		same as Min- d Reg-1Edge
≥ 2	$= k$	$2 + \frac{k-1}{n} + \frac{1}{k}$	Chan et al. [1]
≥ 2	$= 2k - 1$	$5 + \frac{2k-2}{n} + \frac{2}{k}$	Theorem 2.2
≥ 2	$\geq 2k$	$5 + \frac{2k-2}{n}$	Corollary 2.3

This considerably simplifies the problem as one does not have to take care to avoid multiple edges when constructing the approximate solution. For this relaxed variant of the problem, they obtain approximation ratios of 2.5 for even k and $2.5 + \frac{1.5}{k}$ for odd k if the minimum degree requirement is at least 2. We remark that, although an optimal solution with multiple edges cannot be heavier than an optimal solution without multiple edges, an approximation algorithm for the variant with multiple edges does not imply an approximation algorithm for the variant without multiple edges and vice versa.

In many cases of algorithms for network design with degree constraints, only bounds on the degrees are given or some violation of the degree requirements is allowed to simplify the problem. Fekete et al. [7] devised an approximation algorithm for the bounded-degree spanning tree problem. Given lower and upper bounds for the degree of every vertex, spanning trees can be computed that violate every degree constraint by at most 1 and whose weight is no more than the weight of an optimal solution [26]. Often, network design problems are considered as bicriteria problems, where the goal is to simultaneously minimize the total costs and the violation of the degree requirements [9, 10, 18–20]. In contrast, our goal is to meet the degree requirements exactly.

Recently [23], Min- d Reg-1Edge has been considered [23] for the case that d grows with the number n of vertices. It turns out that the problem becomes simpler for large enough d , admitting a PTAS for $d \geq n/c$ for any constant c .

Table 2 Overview of approximation ratios for Min- d Reg- k Edge

k	d	ratio	reference
$= 2$	$= 2$	1.5	same problem as Min-TSP [29, Section 2.4]
≥ 3	$= k$	$2 + \frac{1}{k}$	Chan et al. [1]
$= 1$	odd	3	Theorem 3.23
≥ 2	$\geq k$, even	2.5	Theorem 3.23
≥ 2	$\geq k + 1$, odd	$4 - \frac{3}{k}$	Theorem 3.23

Cases of odd k and even d are omitted as discussed in Section 1.1.2

1.3 Our Contribution

We devise polynomial-time approximation algorithms for $\text{Min-}d\text{Reg-}k\text{Vertex}$ (Section 2) and for $\text{Min-}d\text{Reg-}k\text{Edge}$ (Section 3). Our algorithms can be generalized to arbitrary degree sequences, as long as the minimum degree requirement is at least $2k - 1$ for vertex connectivity or at least $2\lceil k/2 \rceil$ for edge connectivity (Section 4).

Roughly, we obtain an approximation ratio of about 5 for $\text{Min-}d\text{Reg-}k\text{Vertex}$ for $d \geq 2k - 1$, an approximation ratio of roughly 4 for $\text{Min-}d\text{Reg-}k\text{Edge}$ for odd $d \geq k + 1$ and a ratio of 2.5 for $\text{Min-}d\text{Reg-}k\text{Edge}$ for even $d \geq k$. The precise approximation ratios are summarized in Tables 1 and 2.

As far as we are aware, there do not exist any approximation results for the problem of finding subgraphs with exact degree requirements. The only exception that we are aware of is the work by Fukunaga and Nagamochi [8]. However, they allow multiple edges in their solutions, which seems to make the problem simpler to approximate.

The high-level ideas of our algorithms are as follows. For vertex-connectivity, the idea is to compute a k -vertex-connected k -regular graph and a (possibly not connected) d -factor. We iteratively add edges from the k -vertex-connected graph to the d -factor while maintaining the degrees until we obtain a k -vertex-connected d -factor. This works for $d \geq 2k - 1$ (Lemma 2.1).

For edge-connectivity, our initial idea was to iteratively increase the connectivity from $k - 1$ to k by considering the k -edge-connected components of the current solution and adding edges carefully. However, this does not work as k -edge-connected components are not guaranteed to exist in $(k - 1)$ -edge-connected graphs. Instead, we introduce k -special components (Definition 3.2). By connecting the k -special components carefully, we can increase the edge-connectivity of the graph (Lemma 3.11). Every increase of the edge-connectivity costs at most a fraction $O(1/k)$ of the weight of the optimal solution (Lemma 3.18), yielding constant factor approximations for all k .

Finally, we prove that $\text{Min-}d\text{Reg-}1\text{Edge}$ is APX-hard. We extend this result and the NP-hardness of finding connected d -factors to the case where d grows with the number n of vertices.

2 Vertex Connectivity

In this section, we consider $\text{Min-}d\text{Reg-}k\text{Vertex}$ for $d \geq 2k - 1$. The basis of the algorithm (Algorithm 1) is the following: Assume that we have a k -vertex connected k -factor H and a d -factor F that lacks k -vertex-connectedness. Then we iteratively add edges from H to F to make F k -vertex-connected as well. More precisely, we try to add an edge $e \in H \setminus F$ to increase the connectivity of F . To maintain that F is d -regular, we have to add another edge and remove two edges of F . If, in the course of this process, we never have to remove an edge of H from F , then the algorithm terminates with a k -vertex-connected d -regular graph.

In Algorithm 1, the initial d -factor OptF_d can be computed in polynomial time (line 1) by Tutte's reduction to the matching problem [21, 27]. Kortsarz and Nutov

showed that we can compute a k -vertex-connected spanning subgraph K whose total weight is at most a factor of $2 + \frac{k-1}{n}$ larger than the weight of a k -vertex-connected graph of minimum weight (line 2). Chan et al. [1] devised an algorithm that turns k -vertex-connected graphs K into k -regular k -vertex-connected graphs H at the expense of an additive $w(\text{OptV}^k)/k$.

Algorithm 1 $(5 + \frac{2k-2}{n} + \frac{2}{k})$ -approximation for Min- d Reg- k Vertex for $d \geq 2k - 1$

input : undirected complete graph $G = (V, E)$, edge weights w , integers $k \geq 2$,
 $d \geq 2k - 1$
output: k -vertex-connected d -factor R of G

- 1 $F \leftarrow \text{OptF}_d$
- 2 approximate a k -vertex connected graph K using the algorithm of Kortsarz and Nutov [17, Theorem 4.2]
- 3 compute a k -vertex-connected k -factor H from K using the algorithm of Chan et al. [1]
- 4 **while** F is not k -vertex-connected **do**
- 5 select an edge $e = \{u_1, u_2\} \in H \setminus F$ such that u_1 and u_2 are not connected by k vertex-disjoint paths in F
- 6 choose vertices v_1, v_2 with $\{u_1, v_1\}, \{u_2, v_2\} \in F \setminus H$ and $\{v_1, v_2\} \notin F$
- 7 $F \leftarrow (F \setminus \{\{u_1, v_1\}, \{u_2, v_2\}\}) \cup \{\{u_1, u_2\}, \{v_1, v_2\}\}$
- 8 **end**
- 9 $R \leftarrow F$

With this initialization, we iteratively add edges from H to F while maintaining d -regularity of F . This works as long as d is sufficiently large according to the following lemma. We parametrize the maximum degree of H by ℓ in order to be able to get a slight improvement for larger d (Corollary 2.3).

Lemma 2.1 *Let $k, \ell \geq 2$ and $d \geq k + \ell - 1$. Let $G = (V, E)$ be an undirected complete graph. Let F be a d -factor of G that is not k -vertex connected, and let H be a k -vertex connected subgraph of G that has a maximum degree of at most ℓ .*

Then there exists an edge $e = \{u_1, u_2\} \in H \setminus F$ and vertices $v_1, v_2 \in V$ with $v_1 \neq v_2$ with the following properties:

1. *The vertices u_1 and u_2 are not connected via k vertex-disjoint paths in F .*
2. *$\{u_1, v_1\}, \{u_2, v_2\} \in F \setminus H$.*
3. *$\{v_1, v_2\} \notin F$.*

Proof Since F is not k -vertex-connected, there exists a subset $X \subseteq V$ of vertices with $|X| \leq k - 1$ such that the subgraph \tilde{G}_F of F induced by $V \setminus X$ is not connected. Since H is k -vertex-connected, the subgraph \tilde{G}_H of H induced by $V \setminus X$ is connected. This means that there exists an edge $e = \{u_1, u_2\}$ in \tilde{G}_H that connects two different components of \tilde{G}_F . In particular, $e \in H \setminus F$ and u_1 and u_2 are not connected via k vertex-disjoint paths in F .

Let e_1^1, \dots, e_d^1 be the edges of F incident to u_1 . Since H has a maximum degree of at most ℓ and the edge e is incident to u_1 , at most $\ell - 1$ of these d edges are contained in H . Because of this and since $d \geq k + \ell - 1$, at least k of these edges are not contained in H . Let e_1^1, \dots, e_k^1 be k such edges.

In the same way, let e_1^2, \dots, e_k^2 be k edges of $F \setminus H$ incident to u_2 .

Let $e_i^j = \{u_j, z_{j,i}\}$. We call $z_{1,i}$ and $z_{2,i'}$ connected if $z_{1,i} = z_{2,i'}$ or if they are connected with an edge in F . If all endpoints $z_{1,1}, \dots, z_{1,k}$ were connected to all endpoints $z_{2,1}, \dots, z_{2,k}$, then this would give us k vertex-disjoint paths from u_1 to u_2 , contradicting the assumption of the lemma. Thus, there exist $e_i^1 = \{u_1, z_{1,i}\}$ and $e_{i'}^2 = \{u_2, z_{2,i'}\}$ such that $z_{1,i}$ and $z_{2,i'}$ are not connected. We set $v_1 = z_{1,i}$ and $v_2 = z_{2,i'}$. These vertices have the desired properties. \square

With this lemma, we can prove the main result of this section.

Theorem 2.2 *For $k, d \in \mathbb{N}$ with $k \geq 2$ and $d \geq 2k - 1$, Algorithm 1 is a polynomial-time approximation algorithm for Min- d Reg- k Vertex with an approximation ratio of $5 + \frac{2k-2}{n} + \frac{2}{k}$.*

Proof Because of Lemma 2.1 with $\ell = k$, we can always find vertices v_1 and v_2 as required in line 6, and such vertices can be found in polynomial time. Since no edge of H is ever removed from F and every iteration adds one or two edges of H to F , the while loop runs through at most $|H|$ iterations. Thus, the overall running-time is bounded by a polynomial.

Let us analyze the approximation ratio. If we add an edge $e \in H$ to F , then we add in fact $e = \{u_1, u_2\}$ and $e' = \{v_1, v_2\}$. On the other hand, we remove $\{u_1, v_1\}$ and $\{u_2, v_2\}$ from F . By the triangle inequality, $w(e') \leq w(e) + w(\{u_1, v_1\}) + w(\{u_2, v_2\})$. Thus, if we add e and e' , then the weight of F increases by at most $2w(e)$. Therefore, the total weight of R is bounded by $w(R) \leq w(\text{Opt}F_d) + 2w(H)$.

We have $w(\text{Opt}F_d) \leq w(\text{OptVF}_d^k)$. Furthermore, we have $w(H) \leq w(K) + w(\text{OptV}^k)/k$ [1]. Moreover, $w(K) \leq (2 + \frac{k-1}{n}) \cdot w(\text{OptV}^k)$ [17] and $w(\text{OptV}^k) \leq w(\text{OptVF}_d^k)$. Thus,

$$\begin{aligned} w(R) &\leq w(\text{Opt}F_d) + 2w(H) \leq w(\text{Opt}F_d) + 2w(K) + \frac{2}{k} \cdot w(\text{OptV}^k) \\ &\leq w(\text{OptVF}_d^k) + \left(4 + \frac{2k-2}{n}\right) \cdot w(\text{OptV}^k) + \frac{2}{k} \cdot w(\text{OptV}^k) \\ &\leq \left(5 + \frac{2k-2}{n} + \frac{2}{k}\right) \cdot \text{OptVF}_d^k. \end{aligned}$$

\square

Algorithm 1 also works for $k = 1$, but for this case, there already exist better approximation algorithms (see Table 1).

With the slightly stronger assumption $d \geq 2k$, we can get a slightly better approximation ratio.

Corollary 2.3 *For $k, d \in \mathbb{N}$ with $k \geq 2$ and $d \geq 2k$, there exists a polynomial-time approximation algorithm for Min- d Reg- k Vertex with an approximation ratio of $5 + \frac{2k-2}{n}$.*

Proof In line 3 of Algorithm 1, we compute a k -vertex-connected graph H of maximum degree $k + 1$ instead of a k -regular k -vertex-connected graph. According to Chan et al. [1], this can be done in polynomial time with $w(H) \leq w(K)$. Now we use Lemma 2.1 with $\ell = k + 1$. \square

3 Edge-Connectivity

In this section, we present an approximation algorithm for $\text{Min-}d\text{Reg-}k\text{Edge}$ for all combinations of d and k , provided that $d \geq 2\lceil k/2 \rceil$. This means that the algorithm works for all $d \geq k$ with the only exception being the case of odd $d = k$. It includes the case of simple connectivity, i.e., the case of $k = 1$.

The main idea of our algorithm is as follows: We start by computing a d -factor (without requiring any connectedness). Then we iteratively increase the connectivity as follows: First, we identify edges that we can safely remove without decreasing the connectivity. Second, we find edges that we can add in order to increase the connectivity while repairing the d -regularity that we have destroyed in the first step.

One might be tempted to use the k -edge-connected components of the d -factor in order to increase the edge-connectivity from $k - 1$ to k . This works for $k = 1$ and $k = 2$. However, for larger k , the catch is that there need not be enough k -edge-connected components, and it is in fact possible to find $(k - 1)$ -edge-connected graphs that are d -regular with $d \geq k$ that do not contain any non-trivial k -edge-connected component. To circumvent this problem, we introduce the notion of k -special components, which have the desired properties.

3.1 Graph-Theoretic Preparation

Different from the rest of the paper, the graph $G = (V, E)$ is not necessarily complete in this section.

Lemma 3.1 *Let $k \in \mathbb{N}$, and let $G = (V, E)$ be a graph of minimum degree at least k . Let $X \subseteq V$ be a non-empty subset of vertices. Then at least one of the following two properties hold:*

- $|X| \geq k + 1$.
- $\text{cut}_G(X) \geq k$.

Proof Let $\ell = |X|$. Every vertex in X can be adjacent to at most $|X| - 1 = \ell - 1$ other vertices of X . Since G has a minimum degree of at least k , every vertex of X must have at least $k - \ell + 1$ neighbors outside of X . This shows $\text{cut}_G(X) \geq (k - \ell + 1) \cdot \ell$. For $\ell = 1$ and $\ell = k$, this last expression evaluates to k . Since it is a concave function of ℓ , we have $\text{cut}_G(X) \geq k$ for $1 \leq \ell \leq k$. \square

The following definition of k -special components is crucial for the whole Section 3. As far as we are aware, this definition has not appeared yet in the literature.

Definition 3.2 Let $k \in \mathbb{N}$, and let $G = (V, E)$ be a graph. We call $L \subseteq V$ a *k-special component* in G if $\text{cut}_G(L) \leq k - 1$ and L is locally k -edge connected in G .

For $k = 1$, the k -special components are the connected components of G . For $k = 2$, the k -special components are the leaves of the following graph: we have a node for every 2-edge-connected component. Two nodes of this graph are connected if the corresponding 2-edge-connected components are connected via a single edge. This graph is a tree. The 2-special components of G correspond to the leaves of this tree.

Let us collect some facts about k -special components.

Lemma 3.3 Let G have a minimum degree of at least k , and let L be a k -special component in G . Then $|L| \geq k + 1$.

Proof This follows immediately from Lemma 3.1 and Definition 3.2. □

Lemma 3.4 Let G be a graph. If L is a k -special component, then L is a maximal locally k -edge-connected component. If L and L' are k -special, then either $L = L'$ or $L \cap L' = \emptyset$.

Proof If L were not maximal, then we would have $\text{cut}_G(L) \geq k$. If L and L' intersect but are not identical, then $\text{cut}_G(L) \geq k$ or $\text{cut}_G(L') \geq k$. Hence, if L and L' intersect, then they must be identical. □

The following lemma is crucial as it proves the existence of k -special components.

Lemma 3.5 Let $k \geq 1$. Let $G = (V, E)$ be a $(k - 1)$ -edge-connected graph. Then every non-empty vertex set $X \subsetneq V$ either contains a k -special component or satisfies $\text{cut}_G(X) \geq k$.

Proof Assume to the contrary that there exists a set X with $\text{cut}_G(X) \leq k - 1$ that does not contain a k -special component. We choose X minimal in the sense such that no non-empty proper subset $Y \subsetneq X$ with $\text{cut}_G(Y) \leq k - 1$ does not contain a k -special component.

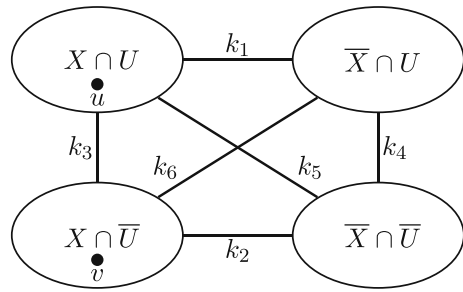
Now consider any $Y \subsetneq X$. If Y contains a k -special component $L \subseteq Y$, then also X contains a k -special component. We can conclude that $\text{cut}_G(Y) \geq k$ for all non-empty $Y \subsetneq X$.

If X itself is locally k -edge-connected, then X is a k -special component because $\text{cut}_G(X) \leq k - 1$ by assumption. Thus, we can conclude that X is not locally k -edge-connected. Hence, there exist vertices $u, v \in X$ and a set $U \subseteq V$ with $u \in U$ and $v \in V \setminus U = \bar{U}$ such that $\text{cut}_G(U) \leq k - 1$.

We know that $X \cap U, X \cap \bar{U} \neq \emptyset$. Furthermore, not both $\bar{X} \cap U$ and $\bar{X} \cap \bar{U}$ can be empty, as X is a proper subset of V . We denote the number of edges between the four parts of the graph by k_1, \dots, k_6 according to Fig. 1.

We consider three cases. The first case is that $\bar{X} \cap U = \emptyset$. We only have to deal with k_2, k_3 , and k_5 . Among others, we have the constraint $k_3 + k_5 \geq k$ since $X \cap U$

Fig. 1 The situation in the proof of Lemma 3.5. The set $X \subsetneq V$ satisfies $\text{cut}_G(X) \leq k - 1$. Since X is not locally k -edge-connected, we have $\text{cut}_G(U) \leq k - 1$. The numbers k_1, \dots, k_6 denote the number of edges between the four parts



is a proper subset of X , which implies $\text{cut}_G(X \cap U) \geq k$. And we have the constraint $k_3 + k_5 \leq k - 1$ since $\text{cut}_G(U) \leq k - 1$ and $\overline{X} \cap U = \emptyset$. These two cannot be satisfied simultaneously.

The second case is that $\overline{X} \cap \overline{U} = \emptyset$. In the same way as in Case 2, we have $k_3 + k_6 \geq k$ and $k_3 + k_6 \leq k - 1$, which cannot be satisfied simultaneously.

The last and general case is that both $\overline{X} \cap U \neq \emptyset$ and $\overline{X} \cap \overline{U} \neq \emptyset$. Since $X \cap U$ and $X \cap \overline{U}$ are proper subsets of X , we have $\text{cut}_G(X \cap U), \text{cut}_G(X \cap \overline{U}) \geq k$. This translates to $k_1 + k_3 + k_5 \geq k$ and $k_2 + k_3 + k_6 \geq k$.

We have $\text{cut}_G(X) \leq k - 1$, which implies $k_1 + k_2 + k_5 + k_6 \leq k - 1$. And we have $\text{cut}_G(U) \leq k - 1$, we implies $k_3 + k_4 + k_5 + k_6 \leq k - 1$.

Finally, the graph G is $(k - 1)$ -edge-connected. Thus, there are at least $k - 1$ edge-disjoint paths from $\overline{X} \cap U$ to $\overline{X} \cap \overline{U}$. This translates to $k_4 + k_5 + k_6 + \min(k_1, k_2, k_3) \geq k - 1$. The latter corresponds to three inequalities: $k_i + k_4 + k_5 + k_6 \geq k - 1$ for $i \in \{1, 2, 3\}$.

We have to show that there are no choices for k_1, \dots, k_6 that satisfy all inequalities simultaneously. We have

$$k_3 + k_4 + k_5 + k_6 \geq k - 1 \quad \text{and} \quad k_3 + k_4 + k_5 + k_6 \leq k - 1.$$

Thus, $k_4 = k - 1 - k_3 - k_5 - k_6$. We plug this into $k_i + k_4 + k_5 + k_6 \geq k - 1$ for $i = 1, 2$ and obtain $k_3 \leq k_1$ and $k_3 \leq k_2$.

Adding $k_1 + k_3 + k_5 \geq k$ and $k_2 + k_3 + k_6 \geq k$ yields

$$k_1 + k_2 + 2k_3 + k_5 + k_6 \geq 2k.$$

We have $k_1 + k_2 + k_5 + k_6 \leq k - 1$. Multiplying this with 2 and combining it with $k_3 \leq k_1$ and $k_3 \leq k_2$ yields

$$k_1 + k_2 + 2k_3 + 2k_5 + 2k_6 \leq 2k - 2.$$

By the non-negativity of k_5 and k_6 , we thus must have

$$k_1 + k_2 + 2k_3 + k_5 + k_6 \leq 2k - 2,$$

which cannot be satisfied simultaneously with the inequality $k_1 + k_2 + 2k_3 + k_5 + k_6 \geq 2k$ derived above. □

The purpose of the next few lemmas is to show that we can always remove an edge from a k -special component without decreasing the connectedness of the whole

graph. In the following, let $m = \lceil k/2 \rceil + 1$. It turns out that the graph induced by a k -special component contains a locally m -edge-connected component (Lemma 3.7). The next lemma is useful for this.

Lemma 3.6 *Let $k \geq 1$, let $G = (V, E)$ be a $(k - 1)$ -edge-connected graph, and let $L \subseteq V$ be a k -special component of G . Then L is $(\lceil k/2 \rceil + 1)$ -edge-connected.*

Proof Consider $u, v \in L$. As u is locally k -edge-connected to v , there are at least k edge-disjoint paths from u to v . Since L is k -special, we have $\text{cut}_G(L) \leq k - 1$. Thus, at most $\lfloor \frac{k-1}{2} \rfloor$ of these edge-disjoint paths can leave L . Hence, there are at least $k - \lfloor \frac{k-1}{2} \rfloor = \lceil k/2 \rceil + 1$ paths running solely through vertices in L . \square

Lemma 3.7 *Let $k \geq 1$, and let $m = \lceil k/2 \rceil + 1$. Let $G = (V, E)$ be a $(k - 1)$ -edge-connected graph of minimum degree at least $2\lceil k/2 \rceil$, and let L be a k -special component of G . Then there exists an $X \subseteq L$ such that X is a locally m -edge-connected component in L and $|X| \geq k + 1$.*

Proof For even k , Lemma 3.6 implies that we can choose $X = L$. We have $|X| \geq k + 1$ by Lemma 3.3.

For $k = 1$, we have $m = 2$, and G has a minimum degree of 2. The k -special components are just the connected components of G . Every connected component in a graph of minimum degree 2 contains a 2-edge-connected component, which satisfies $|X| \geq 2$.

Now let $k \geq 3$ be odd. The minimum degree in this case is $2\lceil k/2 \rceil = k + 1$. By Lemma 3.6, we know that L is $(m - 1)$ -edge-connected. If L is also m -edge-connected, then we can choose $X = L$. We have $|X| \geq k + 1$ by Lemma 3.3.

Otherwise, there exists a set $Y \subseteq L$ with $\text{cut}_L(Y) \leq m - 1$. Lemma 3.5 implies the existence of an m -special component $X \subseteq Y$ of L . This implies that X is locally m -edge-connected in L . To finish the proof, we have to show that $\ell = |X| \geq k + 1$. We have $\text{cut}_L(X) \leq m - 1$ since X is m -special within L .

There are at most $\binom{\ell}{2}$ edges within X . Since $\text{cut}_G(L) \leq k - 1$, the number of edges that connect X to $L \setminus X$ is at least

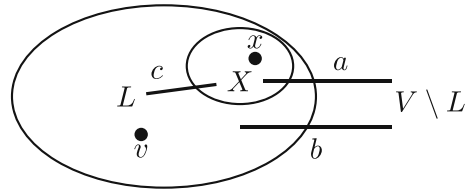
$$g(\ell) = (k + 1) \cdot \ell - 2 \cdot \binom{\ell}{2} - (k - 1) = -\ell^2 + (k + 2) \cdot \ell - k + 1.$$

This number can be at most $m - 1 \leq k$ since X is m -special within L . For $\ell = 2$, we obtain $-4 + 2k + 4 - k + 1 = k + 1$. For $\ell = k$, we obtain $-k^2 + k^2 + 2k - k + 1 = k + 1$. Since g is a concave function of ℓ , we have $g(\ell) \geq k + 1$ for $2 \leq \ell \leq k$. We have to rule out $\ell = 1$ to show that $\ell = |X| \geq k + 1$, which finishes the proof.

Assume that $|X| = 1$, and let $X = \{x\}$. The situation is depicted in Fig. 2, where a denotes the number of edges between X and $V \setminus L$, b denotes the number of edges between $L \setminus X$ and $V \setminus L$, and c denotes the number of edges between X and $L \setminus X$.

We have $\text{cut}_G(L) = a + b \leq k - 1$ since L is a k -special component in G . We have $\text{cut}_L(X) = c \leq m - 1$ since X is an m -special component in L . We have $\text{cut}_G(X) = a + c \geq k + 1$ because x has a degree of at least $k + 1$. The first two inequalities imply $a + b + c \leq k + m - 2$. From this and the third one, we obtain

Fig. 2 The situation in the proof of Lemma 3.7. We have $X = \{x\}$. The numbers **(a)**, **(b)**, and **(c)** denote the number of edges between X and $V \setminus L$, $L \setminus X$ and $V \setminus L$, and $L \setminus X$ and X



$b \leq m - 3$. Choose any $v \in L \setminus X$. Since L is a k -special component in G , we have at least k edge-disjoint paths from x to v in G . At most $c \leq m - 1$ of these paths can run solely through L . Thus, $b \geq k - c \geq k - m + 1$. Together with the previously derived $b \leq m - 3$, we obtain $k - m + 1 \leq m - 3$. This is equivalent to $k + 4 \leq 2m$, which does not hold since $m = \lceil k/2 \rceil + 1 = \frac{k+3}{2}$. We can conclude that $|X| = 1$ is impossible. \square

Given Lemma 3.7, the next lemma follows. The edges $\{u_i, v_i\}$ mentioned in this lemma are the edges that we can safely remove. The resulting graph will remain $(k - 1)$ -edge-connected (Lemma 3.10). The vertices u_i and v_i in the next lemma will be chosen from $X_i \subseteq L_i$, where X_i is a locally m -edge-connected component in L_i as in Lemma 3.7.

Lemma 3.8 *Let $k \geq 1$, and let $m = \lceil k/2 \rceil + 1$. Let $G = (V, E)$ be a $(k - 1)$ -edge-connected graph of minimum degree at least $2\lceil k/2 \rceil$. Let L_1, \dots, L_s be the k -special components of G . Then there exist vertices $u_i, v_i \in L_i$ for all $i \in \{1, \dots, s\}$ such that the following properties are met:*

- $\{u_i, v_i\} \in E$ for all i .
- $\{u_i, v_j\} \notin E$ for all $i \neq j$.
- There exist at least m edge-disjoint paths from u_i to v_i in the graph induced by L_i for every i .

Proof Consider any $i \in \{1, \dots, s\}$. According to Lemma 3.7, there exists a locally m -edge-connected set $X_i \subseteq L_i$ with $|X_i| \geq k + 1$. Since $|X_i| \geq k + 1$ and $\text{cut}_G(L_i) \leq k - 1$ (because L_i is k -special), there must be a vertex $u_i \in X_i$ with $N(u_i) \subseteq L_i$.

We choose v_i to be another vertex in the locally m -edge-connected component X_i contained in L_i such that $\{u_i, v_i\} \in E$. If such a v_i exists, then there are m edge-disjoint paths from u_i to v_i in L_i since $u_i, v_i \in X_i$ and X_i is locally m -edge-connected in L_i .

Such vertices v_i exist because of the construction of the sets X_i in Lemma 3.7: Either $X_i = L_i$. Then this follows since $N(u_i) \subseteq L_i = X_i$. Or $X_i \subsetneq L_i$. In this case, we have $\text{cut}_{L_i}(X_i) \leq m - 1 = \lceil k/2 \rceil$ since X_i is an m -special component in L_i . Since u_i has at least $2\lceil k/2 \rceil$ neighbors, all of which are in L_i , at least one $v_i \in X_i$ that is adjacent to u_i must exist. \square

Lemma 3.9 *Let $G = (V, E)$ be a $(k - 1)$ -edge-connected graph of minimum degree at least $2\lceil k/2 \rceil$ with k -special components L_1, \dots, L_s . Let u_1, \dots, u_s and v_1, \dots, v_s be chosen as in Lemma 3.8. Let $Q = \{\{u_i, v_i\} \mid 1 \leq i \leq s\}$.*

For all i and j with $i \neq j$, there does not exist a set $C \subseteq E$ with $|C| \leq k - 1$ such that u_i is disconnected from v_i and u_j is disconnected from v_j in $G - Q - C$.

Proof Within L_i , there are m edge-disjoint paths from u_i to v_i by Lemma 3.8. After removing Q from G , there remain at least $m - 1 = \lceil k/2 \rceil$ edge-disjoint paths within L_i .

The same holds for L_j with u_j and v_j . Thus, any set C of edges that simultaneously disconnects u_i from v_i and u_j from v_j must satisfy $|C| \geq 2 \cdot \lceil k/2 \rceil \geq k$. \square

Lemma 3.10 Let $G = (V, E)$ be a $(k - 1)$ -edge-connected graph of minimum degree at least $2\lceil k/2 \rceil$ with k -special components L_1, \dots, L_s , and let u_1, \dots, u_s and v_1, \dots, v_s be chosen as in Lemma 3.8. Let $Q = \{\{u_i, v_i\} \mid 1 \leq i \leq s\}$. Then $G - Q$ is $(k - 1)$ -edge-connected.

Proof We have to show that $\text{cut}_{G-Q}(X) \geq k - 1$ for all non-empty $X \subsetneq V$. To do this, we distinguish two cases: In the first case, for every i , either $u_i, v_i \in X$ or $u_i, v_i \notin X$. In this case, $\text{cut}_{G-Q}(X) = \text{cut}_G(X) \geq k - 1$ since no edges between X and $V \setminus X$ are removed.

In the second case, there exists an i such that $|\{u_i, v_i\} \cap X| = 1$. If there are two or more i with this property, then $\text{cut}_{G-Q}(X) \geq k - 1$ follows from Lemma 3.9.

Now assume that there is only a single i with $|\{u_i, v_i\} \cap X| = 1$. Without loss of generality, we assume that $u_i \in X$ and $v_i \notin X$. Since u_i and v_i are locally k -edge-connected in G , we have $\text{cut}_G(X) \geq k$. Only the edge $\{u_i, v_i\}$ is removed from this cut, thus $\text{cut}_{G-Q}(X) \geq k - 1$. \square

By removing the edges $\{u_i, v_i\} \in Q$ and adding the edges $\{u_i, v_{i+1}\} \in S$, we construct a k -edge-connected graph from the $(k - 1)$ -edge-connected graph G according to the following lemma.

Lemma 3.11 Let $G = (V, E)$ be a $(k - 1)$ -edge-connected graph of minimum degree at least $2\lceil k/2 \rceil$ with k -special components L_1, \dots, L_s , and let u_1, \dots, u_s and v_1, \dots, v_s be chosen as in Lemma 3.8. Let $Q = \{\{u_i, v_i\} \mid 1 \leq i \leq s\}$, and let $S = \{\{u_i, v_{i+1}\} \mid 1 \leq i \leq s\}$, where arithmetic is modulo s .

Then the graph $\tilde{G} = G - Q + S$ is k -edge-connected.

Proof We prove the lemma by a series of claims. In the following, arithmetic is modulo s .

Claim 3.12 For every i , the vertices u_i and v_{i+1} are locally k -edge-connected in \tilde{G} .

Proof The graph $G - Q$ is $(k - 1)$ -edge-connected and S contains the edge $\{u_i, v_{i+1}\}$. \square

Claim 3.13 For all i and j , the vertices u_i and u_j are locally k -edge-connected in \tilde{G} .

Proof Without loss of generality, we assume $i < j$.

Assume to the contrary that there is a set C of at most $k - 1$ edges such that C disconnects u_i from u_j . Since $G - Q$ is $(k - 1)$ -edge-connected by Lemma 3.10, we must have $C \cap S = \emptyset$.

Lemma 3.9 says that if C disconnects u_a from v_a for some a , then it cannot disconnect u_b from v_b for $a \neq b$. Thus, C can disconnect at most one pair u_a, v_a . Consider the paths from u_i to u_j via $v_{i+1}, u_{i+1}, v_{i+2}, u_{i+2}, \dots, v_{j-1}, u_{j-1}, v_j$ or via $v_i, u_{i-1}, v_{i-1}, u_{i-2}, \dots, v_{j+2}, u_{j+1}, v_{j+1}$ after adding S . The set S contains all the connections between u and v vertices in these paths. Since C can disconnect at most one pair u_a, v_b by Lemma 3.9, one of these paths must still exist. Thus, u_i and v_i are still connected in \tilde{G} after removing at most $k - 1$ edges. \square

Claim 3.14 *For all i and j , vertices u_i, v_i, u_j , and v_j are pairwise locally k -edge-connected in \tilde{G} .*

Proof This follows by transitivity of local k -edge-connectedness and Claims 3.12 and 3.13 above. \square

Claim 3.15 *For all i , the vertices in L_i are locally k -edge-connected in \tilde{G} .*

Proof We show that every $x \in L_i$ is locally k -edge-connected to u_i in \tilde{G} . Then the claim follows by transitivity of local k -edge-connectedness.

Let $X \subsetneq V$ with $x \in X$ and $u_i \notin X$. If $v_j \in X$ (including the case $j = i$) or $u_j \in X$ for some $j \neq i$, then $\text{cut}_{\tilde{G}}(X) \geq k$ by Claim 3.14. Thus, the case $u_1, \dots, u_s, v_1, \dots, v_s \notin X$ remains to be considered. Since L_i is locally k -edge-connected in G , we have $\text{cut}_G(X) \geq k$. This cut does not change by removing Q . Hence, $\text{cut}_{\tilde{G}}(X) \geq k$. \square

Transitivity of local k -edge-connectedness, Claim 3.14, and Claim 3.15 imply that $L = \bigcup_{i=1}^s L_i$ is locally k -edge-connected in \tilde{G} . The vertices that are not part of any k -special component in G remain to be considered.

Consider an arbitrary non-empty $X \subsetneq V$. If we can show that $\text{cut}_X(\tilde{G}) \geq k$, then we have completed the proof of this lemma. If $X \cap L \neq \emptyset$ and $(V \setminus X) \cap L \neq \emptyset$, then $\text{cut}_{\tilde{G}}(X) \geq k$ because L is locally k -edge-connected in \tilde{G} . Thus, $L \subseteq X$ or $L \subseteq V \setminus X$. By symmetry, we restrict ourselves to the second case. Then we have $\text{cut}_G(X) = \text{cut}_{\tilde{G}}(X)$ because no edge connecting X to $V \setminus X$ is in Q or S .

If $\text{cut}_G(X) \leq k - 1$, then X contains a k -special component by Lemma 3.5, contradicting our assumption. Thus, we have $\text{cut}_G(X) \geq k$. \square

To conclude this section, we remark that the k -special components of a graph can be found in polynomial-time: local k -edge-connectedness can be tested in polynomial time. Thus, we can find locally k -edge-connected components in polynomial time. Since k -special components are maximal locally k -edge-connected components, we just have to compute a partition of the graph into locally k -edge-connected components and check whether less than k edges leave such a component. Therefore, the sets L_i and $X_i \subseteq L_i$ as well as the vertices u_i and v_i with the properties as in Lemmas 3.7 and 3.8 can be computed in polynomial time.

Finally, we need the following lemma for improving the approximation ratios in the next section. It basically implies that we can jump from disconnected graphs directly to 2-edge-connected graphs in one iteration.

Lemma 3.16 *Let $G = (V, E)$ be any undirected graph (not necessarily connected) of minimum degree 2. Let L_1, \dots, L_s be the 2-special components of G . Then we have the following properties:*

1. *There exists a set of edges $Q = \{u_i, v_i \mid 1 \leq i \leq s\}$ such that $\{u_i, v_i\} \in E$, $u_i, v_i \in L_i$, and neither u_i nor v_i are connected to any vertex outside L_i .*
2. *The graph $G' = G - Q$ contains exactly the same connected components as G .*
3. *Let $S = \{u_i, v_{i+1} \mid 1 \leq i \leq s\}$, where arithmetic is modulo s . Then the graph $\tilde{G} = G - Q + S$ is 2-edge-connected.*

Proof Every 2-special component is 2-edge-connected. Consider any 2-special component L_i of G . We have $\text{cut}_G(L_i) \leq 1$ by definition. If $\text{cut}_G(L_i) = 0$, then L_i contains at least three vertices since G has minimum degree 2. Hence, the existence of an edge $\{u_i, v_i\} \in E$ with $u_i, v_i \in L_i$ follows. If $\text{cut}_G(L_i) = 1$, then there is one vertex x_i that connects L_i to the rest of the graph. This vertex must be incident to at least two other vertices of L_i as L_i is 2-edge-connected. Now a similar argument as for the case $\text{cut}_G(L_i) = 0$ applies. This proves Item (1).

Since every 2-special component is 2-edge-connected, removal of Q does not cause any 2-special component to split. Thus, we have Item (2).

Let us now prove Item (3). The graph \tilde{G} is connected: any two connected components of G , which are still connected in G' , contain 2-special components L_i and L_j , respectively. These are connected via a path that passes through $u_i, v_{i+1}, u_{i+1}, \dots, u_{j-1}, v_j$. We have to show that \tilde{G} remains connected after the removal of any edge.

First, if we remove an edge $\{u_i, v_{i+1}\} \in S$, then we still have a path from u_i to v_{i+1} via $v_i, u_{i-1}, v_{i-1}, \dots, v_{i+2}, u_{i+1}$. Second, if we remove a bridge edge $e = \{x, y\}$ of G (a bridge edge of a graph is an edge whose removal increases the number of connected components), then there still must exist a path from x to some u_i that does not visit y . Similarly, there must exist a path from y to some u_j that does not visit x . Now u_i and u_j are connected as in the first case. Third, if we remove any other edge $e = \{x, y\}$ of \tilde{G} , then x and y belong to the same 2-edge-connected component C of G . If $C = L_i$ is 2-special, then there is a path from x to y in $G - e$. If this path uses $\{u_i, v_i\}$, we can reroute it via $v_{i+1}, u_{i+1}, \dots, v_{i-1}, u_{i-1}$ to obtain a path in \tilde{G} . If this path does not use $\{u_i, v_i\}$, then it still exists in \tilde{G} . If C is not equal to a 2-special component, then C is a 2-edge-connected component of \tilde{G} and, thus, remains connected after removal of e . \square

3.2 Algorithm and Analysis

Our approximation algorithm for Min- d Reg- k Edge (Algorithm 2) starts with any d -factor F_0 without requiring connectivity. Then it iteratively uses a subroutine (Algorithm 3) that increases the connectivity.

Algorithm 2 Approximating k -edge-connected d -factors of minimum weight

input : undirected complete graph $G = (V, E)$, edge weights w , integers $k \geq 1$,
 $d \geq 2\lceil k/2 \rceil$

output: k -edge-connected d -factor R of G

- 1 compute a minimum-weight d -factor F_0
- 2 **if** F_0 is not 2-edge-connected **then**
- 3 find the 2-special components of F_0 ; let L_1, \dots, L_s be these 2-special components
- 4 find nodes $u_i, v_i \in L_i$ for $i \in \{1, \dots, s\}$ as in Lemma 3.16; $Q \leftarrow \{\{u_i, v_i\} \mid 1 \leq i \leq s\}$
- 5 compute a TSP tour T on V using Christofides' algorithm
- 6 take shortcuts to get a tour T' on u_1, \dots, u_s (without loss of generality in this order)
- 7 $S \leftarrow \{\{u_i, v_{i+1}\} \mid 1 \leq i \leq s\}$ (arithmetic modulo s)
- 8 $F_2 \leftarrow F_0 - Q + S$
- 9 **else**
- 10 $F_2 \leftarrow F_0$
- 11 **end**
- 12 **for** $p \leftarrow 3, \dots, k$ **do**
- 13 **if** F_{p-1} is not p -edge-connected **then**
- 14 | apply Algorithm 3 to obtain F_p
- 15 **else**
- 16 | $F_p \leftarrow F_{p-1}$
- 17 **end**
- 18 **end**
- 19 $R \leftarrow F_k$

We analyze correctness and approximation ratio using a series of lemmas.

Lemma 3.17 *Let $k \geq 1$ be arbitrary, and let $p \in \{0, 2, 3, 4, \dots, k-1, k\}$. Let F_p be computed by Algorithm 2. Then F_p is d -regular and p -edge-connected.*

Proof By construction of F_0 and F_2, \dots, F_k , all these graphs are d -regular. The graph F_2 is 2-edge-connected by Lemma 3.16 or F_0 was already 2-edge-connected. Now assume that the lemma holds for some $p-1$ for $p \geq 3$. We know that F_{p-1} is $(p-1)$ -edge-connected and d -regular by induction hypothesis.

Either F_{p-1} is already p -edge-connected. In this case, $F_p = F_{p-1}$ (line 16). Or F_{p-1} is not p -edge-connected. Then we apply Algorithm 3. By Lemma 3.11, F_p is p -edge-connected. All degrees are maintained. Thus, F_p is d -regular. \square

Algorithm 3 Increasing the edge-connectivity of a graph by one while maintaining d -regularity

input : undirected complete graph $G = (V, E)$, edge weights w , integer $p \geq 1$,
 $(p-1)$ -edge-connected subgraph F_{p-1} of G with minimum degree at least
 $2\lceil p/2 \rceil$

output: p -edge-connected subgraph F_p of G with the same degree at every vertex as
 F_{p-1}

- 1 find the p -special components of F_{p-1} ; let L_1, \dots, L_s be these p -special components
- 2 find nodes $u_i, v_i \in L_i$ for all $i \in \{1, \dots, s\}$ as in Lemma 3.8; $Q \leftarrow \{\{u_i, v_i\} \mid 1 \leq i \leq s\}$
- 3 compute a TSP tour T on V using Christofides' algorithm
- 4 take shortcuts to obtain a tour T' on u_1, \dots, u_s (without loss of generality in this order)
- 5 $S \leftarrow \{\{u_i, v_{i+1}\} \mid 1 \leq i \leq s\}$ (arithmetic modulo s)
- 6 $F_p \leftarrow F_{p-1} - Q + S$

In our approximation algorithm, we use Christofides' algorithm [29, Section 2.4] to compute TSP tours. In order to analyze the approximation ratio and to achieve a constant approximation for all k , we exploit a result that Fukunaga and Nagamochi [8] attributed to Goemans and Bertsimas [12] and Wolsey [30]. It relates the weight of the tour computed by Christofides' algorithm to the objective value of the relaxation of the integer linear program for k -edge-connected graphs of minimum weight. Fukunaga and Nagamochi state the following result only for the case $k = 2$. We obtain the result below that we need for our purposes by scaling the right-hand side of their linear program by a factor of $k/2$.

Lemma 3.18 (Fukunaga, Nagamochi [8, Theorem 2]) *Let T be the TSP tour obtained from Christofides' algorithm. Then $w(T) \leq \frac{3}{k} \cdot w(\text{OptE}^k)$.*

We also need the following lemma. (Otherwise, we have to replace Christofides' algorithm by the spanning tree heuristic for the case of $k = 1$.)

Lemma 3.19 *Let T be the TSP tour obtained from Christofides' algorithm. Then $w(T) \leq 2 \cdot w(\text{MST})$.*

Proof The weight $w(T)$ can be bounded from above by the sum of an MST plus the weight of a minimum-weight perfect matching on the odd-degree nodes of this tree. The weight of this minimum-weight perfect matching can be bounded from above by the weight of the MST using the triangle inequality. \square

Lemma 3.20 *If, in Algorithm 2, we enter line 14 and call Algorithm 3, then*

$$w(F_p) \leq \frac{3}{k} \cdot w(\text{optEF}_d^k) + w(F_{p-1}).$$

Proof Let Q , S , T , and T' be as in the corresponding call of Algorithm 3. We have $w(F_p) = w(F_{p-1}) - w(Q) + w(S)$. By construction, the triangle inequality, Lemma 3.18, and $w(\text{OptE}^k) \leq w(\text{optEF}_d^k)$, we have

$$w(T') \leq w(T) \leq \frac{3}{k} \cdot w(\text{optEF}_d^k).$$

Since $w(\{u_i, v_{i+1}\}) \leq w(\{u_i, u_{i+1}\}) + w(\{u_{i+1}, v_{i+1}\})$, we have $w(S) \leq w(T') + w(Q)$. The overall weight added is $w(S) - w(Q)$, thus at most $w(T')$. Hence, the lemma holds. \square

Lemma 3.21 *In Algorithm 2, we have $w(F_2) \leq w(F_0) + \frac{3}{k} \cdot w(\text{optEF}_d^k)$.*

Proof The proof is almost identical to the proof of Lemma 3.20. \square

Lemma 3.22 *If Algorithm 2 calls Algorithm 3 q times, then*

$$w(F_k) \leq \frac{3q}{k} \cdot w(\text{optEF}_d^k) + w(F_2).$$

Proof The lemma follows by immediately applying Lemma 3.20. \square

Theorem 3.23 For $k \geq 1$ and $d \geq 2\lceil k/2 \rceil$, Algorithm 2 is a polynomial-time approximation algorithm for Min- d Reg- k Edge. It achieves an approximation ratio of

- 2.5 for even d ,
- $4 - \frac{3}{k}$ for odd d and $k \geq 2$, and
- 3 for odd d and $k = 1$.

Proof First, let d be even. We can restrict ourselves to consider even k as discussed in Section 1.1.2. Algorithm 2 calls Algorithm 3 at most $k/2 - 1$ times as every call increases the connectivity by at least two. The approximation ratio follows from Lemmas 3.21 and 3.22 and $w(F_0) \leq w(\text{optEF}_d^k)$.

Second, let d be odd and $k \geq 2$. We need at most $k - 2$ calls of Algorithm 3 to obtain a k -edge-connected d -factor. Thus, we obtain $w(F_k) \leq \frac{3k-6}{k} \cdot w(\text{optEF}_d^k) + w(F_2) \leq (4 - \frac{3}{k}) \cdot w(\text{optEF}_d^k)$ by Lemmas 3.21 and 3.22.

Finally, consider odd d and $k = 1$. In this case, the approximation ratio follows from Lemma 3.19. (Lemma 3.20 yields a worse bound for this case.) \square

Algorithm 2 works also for the case of even $d = k$, but there exists already an approximation algorithm with a ratio of $2 + \frac{1}{k}$ for this special case [1].

Remark 3.24 We observe that even for Min- d Reg-1Edge for odd d , Algorithm 2 always outputs a 2-edge-connected graph that weighs at most $3 \cdot w(\text{OptEF}_d^1)$. This limits the approximation ratio of the approximation algorithm as there are instances with $3 \cdot w(\text{OptEF}_d^1) = w(\text{OptEF}_d^2)$ (see Proposition 3.5 below). Directly computing a 1-edge-connected solution might result in an improved approximation ratio, but we do not see how to achieve this.

Proposition 3.25 For every odd $d \geq 3$, there are instances with $3 \cdot w(\text{OptEF}_d^1) = w(\text{OptEF}_d^2)$.

Proof The set of vertices of the instance consists of a vertex v plus d sets V_1, \dots, V_d that consist of $d + 2$ vertices each. We set the distance of v to each of the other vertices equal to 1. The distance between each pair of vertices from the same set V_i is 0. Finally, the distance between all pairs of vertices from different sets V_i and V_j is 2. The triangle inequality is satisfied.

An optimal connected d -factor connects v to one vertex of each V_i . Since each V_i has an odd number of vertices and d is odd as well, we can complete the connected d -factor without any further cost. Thus, the total cost is d .

An optimal 2-edge-connected d -factor has a weight of $3d$: Because each V_i has an odd number of vertices and d is odd, any 2-edge-connected d -factor must have at least three edges leaving each set V_i . If such an edge e is incident with v , then we charge its weight to V_i . The other possibility is that e is incident with a vertex from some V_j , where $j \neq i$. In this case e has a weight of 2 and we charge a weight of 1 to both V_i and V_j . The total charge of all sets V_i equals the total weight of the

2-edge-connected d -factor. Since each V_i is charged at least 3, the total weight of any 2-edge-connected d -factor is at least $3d$. \square

4 Generalization to Arbitrary Degree Sequences

Both algorithms of Sections 2 and 3 do not exploit d -regularity, but only that the degree of each vertex is at least d . Thus, we immediately get approximation algorithms for Min- d Gen- k Vertex and Min- d Gen- k Edge, where we have a degree requirement of at least d for each vertex.

For k -vertex-connectivity, we require that the minimum degree requirement is at least $2k - 1$. (For minimum degree at least $2k$, we get a small improvement similarly to Corollary 2.3.) For k -edge-connectedness, we require that the minimum degree requirement is at least $2\lceil k/2 \rceil$.

Theorem 4.1 For $k \geq 2$, Min- $(2k - 1)$ Gen- k Vertex can be approximated in polynomial time with an approximation ratio of $5 + \frac{2k-2}{n} + \frac{2}{k}$.

Min- $(2k)$ Gen- k Vertex can be approximated in polynomial time with an approximation ratio of $5 + \frac{2k-2}{n}$.

Theorem 4.2 For $k \geq 2$, Min- $(2\lceil \frac{k}{2} \rceil)$ Gen- k Edge can be approximated in polynomial time with an approximation ratio of $4 - \frac{3}{k}$.

Min-2Gen-1Edge can be approximated in polynomial time with an approximation ratio of 3.

5 Hardness Results

5.1 TSP-Inapproximability

In this section, we prove that Min- d Reg-1Edge cannot be approximated better than Min-TSP.

Theorem 5.1 For every $d \geq 2$, if Min- d Reg-1Edge can be approximated in polynomial time within a factor of r , then Min-TSP can be approximated in polynomial time within a factor of r .

Proof We show that Min- d Reg-1Edge can be used to approximate Min-TSP. Let the instance of Min-TSP be given by a complete graph $G = (V, E)$ and edge weights $w = (w_e)_{e \in E}$ that satisfy the triangle inequality. Let $n = |V|$. We construct an instance of Min- d Reg-1Edge as follows: The instance consists of a complete graph $H = (V', E')$. Here $V' = \bigcup_{v \in V} V_v$, where $V_v = \{v_1, v_2, \dots, v_{d+1}\}$, i.e., H contains $(d + 1) \cdot n$ vertices. We assign edge weights \tilde{w} as follows:

- $\tilde{w}_{\{v_i, v_j\}} = 0$ for all $v \in V, i \neq j$,

- $\tilde{w}_{\{u_i, v_j\}} = w_{\{u, v\}}$ for all $u \neq v, i$ and j .

Every TSP tour T of G maps to a connected d -factor R of H of the same weight: We give T an orientation. For an edge from u to v in T , we include $\{u_1, v_2\}$ in R . Adding all edges except $\{v_1, v_2\}$ to R within each V_v yields a connected d -factor R . Clearly, $\tilde{w}(F) = w(T)$.

Now assume that we have a connected d -factor R of H . We claim that we can construct a TSP tour T of G with $w(T) \leq \tilde{w}(R)$. We construct a multiset T' of edges of G as follows: For each edge $\{u_i, v_j\}$ of R , if $u \neq v$, we add an edge $\{u, v\}$ to T' . Otherwise, if $u = v$, we ignore the edge. The sum of the degrees in R of all vertices in each set V_v is equal to $(d + 1)d$ and is therefore even. Thus, for each v , the number of edges leaving V_v in R , which equals the number of edges incident to v in T' by construction, is even as well. Since R is connected, the multigraph $G' = (V, T')$ is connected as well. By construction, $w(T') = \tilde{w}(R)$. Since G' is connected and all its vertices have even degree, G' is Eulerian. Therefore, we can obtain a TSP tour T from T' by taking shortcuts. By the triangle inequality, $w(T) \leq w(T') = \tilde{w}(R)$. □

The same construction as in the proof of Theorem 5.1 yields the same inapproximability result for Min- d Reg-2Edge.

Min-TSP is APX-hard [25]. Furthermore, the reduction from Min-TSP to Min- d Reg-1Edge (and also to Min- d Reg-2Edge) is in fact an L-reduction [24] (see also Shmoys and Williamson [29, Section 16.2]). This proves the APX-hardness of Min- d Reg-1Edge and Min- d Reg-2Edge for all $d \geq 2$.

5.2 Hardness for Growing d

In this section, we generalize the NP-hardness proof by Cheah and Corneil [2] for the decision problem if a graph contains a connected d -factor to the case that d grows with n . We also extend Theorem 5.1 and the APX-hardness to growing d .

Let us consider Cheah and Corneil’s [2, Section 3.2] reduction from the Hamiltonian cycle problem. Crucial for their reduction is the notion of the d -expansion of a vertex v , which is obtained as follows:

1. We construct a gadget G_{d+1} by removing a matching of size $\lceil \frac{d}{2} \rceil - 1$ from a complete graph on $d + 1$ vertices.
2. We connect each vertex whose degree has been decreased by one to v .

The reduction itself takes a graph G for which we want to test if G contains a Hamiltonian cycle and maps it to a graph $R_d(G)$ as follows: For even d , $R_d(G)$ is the graph obtained by performing a d -expansion for every vertex of G . For odd d , the graph $R_d(G)$ is obtained by doing the following for each vertex v of G : add vertices u_1, u_2, \dots, u_{d-2} ; connect v to u_1, \dots, u_{d-2} ; perform a d -expansion on u_1, \dots, u_{d-2} . We have that G contains a Hamiltonian cycle if and only if $R_d(G)$ contains a connected d -factor.

We note that $R_d(G)$ has $(d + 2) \cdot n$ vertices for even d and $\Theta(d^2n)$ vertices for odd d and can easily be constructed in polynomial time since $d < n$.

Theorem 5.2 *For every fixed $\delta \in [0, 1)$, there is a function $d = d(n) = \Theta(n^\delta)$ that maps to even integers such that checking if an n -vertex graph contains a connected $d(n)$ -factor is NP-hard.*

For every fixed $\delta \in [0, \frac{1}{2})$, there is a function $d = d(n) = \Theta(n^\delta)$ that maps to odd integers such that checking if an n -vertex graph contains a $d(n)$ -factor is NP-hard.

Proof We first present the proof for the case that we map to even integers. After that, we briefly point out the difference for odd integers.

Let $f = f(n) = 2\lceil n^{\frac{\delta}{1-\delta}} \rceil$ and apply $R = R_f(G)$. The graph R has $g(n) = n \cdot (f + 2)$ vertices. since f is even. We have $g = \Theta(n^{\frac{1}{1-\delta}})$. Now we determine d . We require $d(g(n)) = f(n)$. This can be achieved because $g = \omega(n)$ is an injective function. From this, $d = \Theta(n^\delta)$ follows. For natural numbers that are not images of g , we interpolate f to maintain the growth bound.

Let us now point out the differences for functions f mapping to odd integers. In this case, since the reduction for d maps to graphs of size $\Theta(d^2n)$, we have to choose $d = \Theta(n^{\frac{\delta}{1-2\delta}})$. This, however, works only up to $\delta < 1/2$. \square

In the same way as the NP-completeness, the inapproximability can be transferred. The reduction creates graphs of size $(d + 1) \cdot n$. The construction is the same as in Section 5.1, and the proof follows the line of the proof of Theorem 5.2. Here, however, we do not have to distinguish between odd and even d for the symmetric variant, as the reduction in Section 5.1 is the same for both cases.

Theorem 5.3 *For every fixed $\delta \in [0, 1)$, there exists a function $d = \Theta(n^\delta)$ such that Min- d Reg-1Edge and Min- d Reg-2Edge are APX-hard and cannot be approximated better than Min-TSP.*

Complementing Theorems 5.2 and 5.3, Min- d Reg-1Edge admits a PTAS for $d \geq n/3$ and finding a connected d -factor can be done in polynomial time for $d \geq n/3$ [23].

6 Conclusions and Open Problems

We conclude this paper with two questions for further research.

First, for edge-connectivity, we require $d \geq 2\lceil k/2 \rceil$. Since there exists an approximation algorithm for Min- k Reg- k Edge (for $k \geq 2$) [1], the only case for which it is unknown if a constant factor approximation algorithm exists is the generalized problem Min- k Gen- k Edge for odd values of k . We are particularly curious about approximation algorithms for Min-1Gen-1Edge, where we want to find a cheap connected graph with given vertex degrees. To get such algorithms, vertices with degree requirement 1 seem to be bothersome. (This seems to be a more general phenomenon in network design, as, for instance, the approximation algorithms by Fekete et al. [7] for bounded-degree spanning trees and by Fukunaga and Nagamochi [8] for k -edge-connected subgraphs with multiple edges both require that the minimum degree

requirement is at least 2.) Still, we conjecture that constant factor approximation algorithms exist for these problems as well.

Second, we would like to see constant factor approximation algorithms for $\text{Min-}d\text{Reg-}k\text{Vertex}$ for the case $k + 1 \leq d \leq 2k - 2$ and for the general problem $\text{Min-}d\text{Gen-}k\text{Vertex}$ for $k \leq d \leq 2k - 2$. We conjecture that constant factor approximation algorithms exist for these problems.

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